Lecture 1

Introduction to Compilers Spring 2018/2019

Instructor: Dr. Fahed Jubair **Computer Engineering Department** University of Jordan





High level baguage. A compiler is a program that translates a program written in one language, the source language. into an equivalent program in another target language.

Source Target Program Program (Hah Low Level) lever) Likes Assemb

User Expectation



the compiler interact with the user to tell them if they made mistakes

We expect the compiler to:

- 1. Preserve the meaning of the program being translated collectness.
- 2. Report "detectable" errors 911 teractive
- 3. Perform optimizations during the translation

4 to excute on any hordware machine

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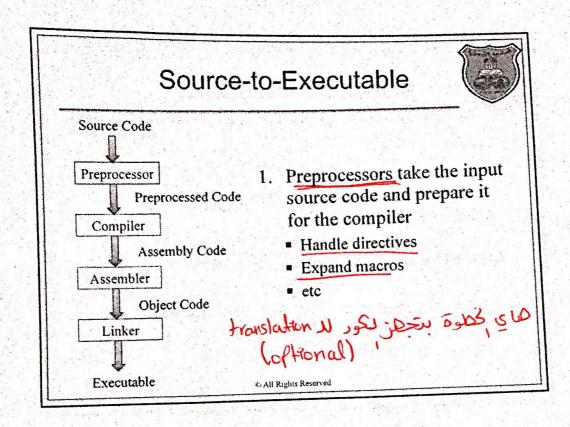
Program Lifecycle

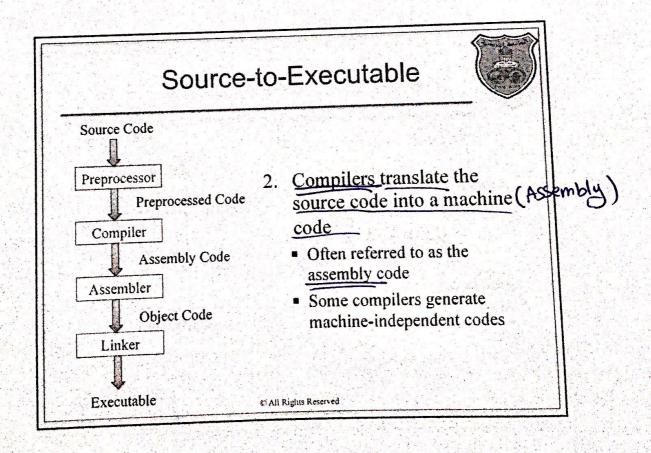


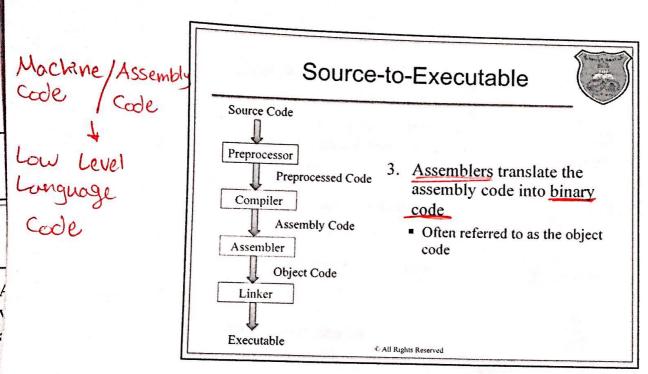
before excusion

same same

- <u>Compile-time</u>: the source program is translated into object code
 - <u>Link-time</u>: the object code is turned into an <u>executable</u> file
- Run-time: executable file is executed







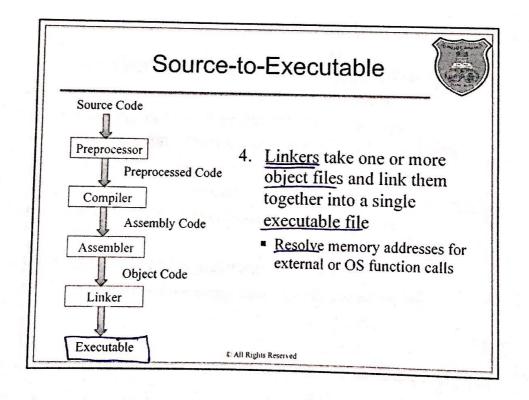
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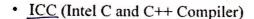
code).



Some Existing Compilers



- GCC (GNU Compiler Collection)
 - Multiple languages: Fortran, C, C++, etc
 - Open source







- Microsoft Visual C++ Compiler
- Microsoft



• Javac (Java Compiler)

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Compiler Command Options



- Compilers have user options that make the compilation process more flexible and interactive
 - Help users debug their code
 - Help users control how to utilize machine resources
 - Help users find performance bottlenecks
- Compilers have a default behavior
 - Users need not worry about specifying all options

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input for the compiler Source code Output of the compiler object life.

Lanker - combines the obj. Pale into excutable Rile

Demo With GCC on Linux



- Consider two source code files in C:
- · factorial.c
 - Computes the factorial for an integer x
- · main.c
 - Reads an input integer from the user
 - Computes the factorial of this integer by calling routines from factorial.c
 - Prints the result

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Demo With GCC on Linux



- gcc -03 -c main.c -o main.o
 - Compiles "main.c" and produces the object file "main.o"
 - Option "O3" specifies the optimization level
 - Option "c" instructs the compiler to compile and assemble but do not link
 - Option "o" specifies the name of the output file
 - Note that this command performs preprocessing, compiling and assembling in one command
- gcc -O3 -c factorial.c -o factorial.o
 - Compiles "factorial.c" and produces the object file "factorial.o"

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إذا ما استحدمكا بحافظ على نفسي اسم الفاعل

gcc - c main.c → comprie déi نائسه عصنعتب command ا عامه محتا. و يطعلك ور ، و بند يا الحافظا حلة المتاه

تبلی له عمل ح بعللی می ناح excutable یا File

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by default

(a.out).

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This Command

Combines the two object files (Linker). Demo With GCC on Linux



gee main.o factorial.o -o exec

- Links and combines both object files together into a single executable file "exec"
- The linking process also includes any runtime routines

gcc -O3 main.c factorial.c -o exec

Do everything in one go: compile and assemble both "main.c" and "factorial.c" to obtain object files, then link and combine the object files to obtain the executable file "exec"

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Related Terminology



- · Runtime library
- Interpreter
- JIT: Just-in-time compilation
- Source-to-source translation
- IDE: Integrated Development Environment

Runtime Library



- Collection of routines that provides services to programs during their executions
 - Examples: garbage collection, stream input/output, thread management, memory management, etc
- Programmers can directly request specific runtime services using the APIs provided by runtime libraries

Cons of interprete Python uses translation Over head) عباد لبرناع أبطا

interpreter

Interpreters



- An interpreter is a program that directly executes an input program without the need to do translation first
 - Interpreters often rely on runtime support from the OS



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Interpreter - translation and execution at the Same Home (?+ excutes line by line).

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Java takes
benefits of
both compiler
and interpreter

An Example: Java



At compile time, Java compiler translates the source program into a "virtual" machine code called bytecode

- Unlike "native" machine codes produced by traditional compilers, bytecode is machine-independent, i.e., designed for an abstract (i.e., virtual) machine
- At execution time, Java Virtual Machine (JVM) has an interpreter that executes bytecode programs

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two Commandie

- Jouac Compiler

> - Jours Interpreter

An Example: Java



- Advantage of Java: codes run everywhere!
 - Compile on one machine and execute on any other machine
 - Bytecode is designed to favor compactness
 - Making it popular with web application
- Disadvantage of Java: virtual codes are slower than traditional native machine codes

takes the byte code

> does full translation to

- → excutable
- -> run

'Just-in-time Compilation (JIT)



- Combine the best of both worlds
 - At compile time, a compiler translates the source program into a virtual machine code
 - At execution time, a virtual machine quickly translates the virtual code into a native machine code, then the code is executed
- JIT compilation is both portable and fast
- JIT is used by Microsoft .Net framework and most current implementations of JVM





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Source-to-Source Translators



- Compilers that translate from any programming language to any other programming language
- Examples:
 - f2c: translates from Fortran77 to C
 - Rose: translates multiple languages, including C++, C and Fortran ROSE@LLNL
 - LLVM: translates any language supported by gcc



Cetus: translates sequential C programs into parallel C programs

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Integrated Development Environment (IDE)



- IDEs are software development tools where programmers can edit their codes, compile them and test their performance
 - Immediate feedback concerning syntax or semantic problems as the code being developed
- IDE examples:
 - Eclipse
 - NetBeans
 - Emacs







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Summary



- A compiler is a computer program that <u>translates a source</u> code written in <u>high-level language into assembly code</u>
- Compilers perform the translation fully <u>offline</u>, no runtime overhead is involved
- During the translation, we expect compilers to preserve correctness, detect errors and perform optimizations
- Existing compilers in the market provide user-options for more flexibility

Lecture 2

Compiler Structure Spring 2018/2019

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The Topic of This Lecture

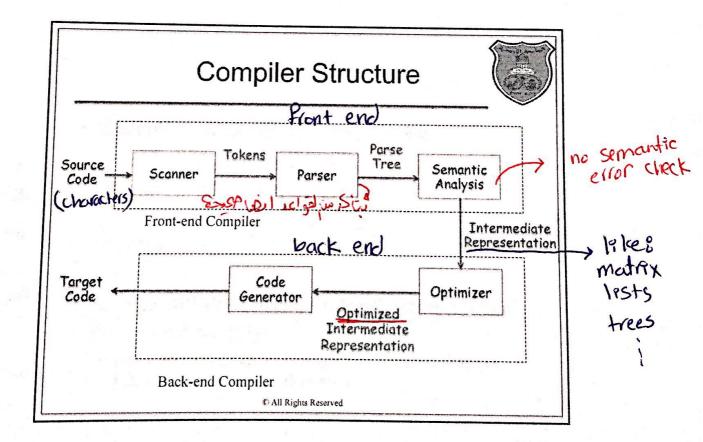


- Describe the compilation process in high-level
- Our goal is to see the big picture first before we dive into the details
- A lot of terminology will be introduced so make sure to keep up

Compiler Structure



- The goal of the compilation process is to translate a source program into a target program
- To do so, the compiler naturally needs to:
 - 1. Understand the input program and ensure no errors
 - (2.) Map the input program into an equivalent and optimized target program
 - The first task is done by the front-end of a compiler The second task is done by the back-end of a compiler



High level Picture of Scanner

Phase 1: Scanner



- Also called lexer
- A scanner reads the input program text character by character and transforms these characters into tokens
- A token defines a minimal syntactic unit in programming languages
 - Similar to a word in the English language

Tokens



token 11

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هون ما أخد أكال

مون مد . الم فرم الم فرم معنى احده الم كله (كله المحوزة)

• Example: Consider the following C code if $(X \ge 0)$ then Y = X; else Y = -X;

The tokens are:

- Scanner will also detect all "illegal" substrings that do not form any token
 - But how can scanners recognize substrings that are tokens and substrings that are not?

tokenus , type all Value. م کدر قواعد من خلاله بقد / لم من خلاله بقد / لم Sconner يعرف مين من د د منه المحمدة ومن

Regular Expression



- A regular expression expresses a set of rules for describing valid tokens in a language can be formed
- Using regular expressions, a scanner can recognize all tokens for an input program text, as well as identify erroneous tokens
- We refer to a language that can be fully expressed by regular expressions as a regular language
 - Modern programming languages are regular languages

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top level of the parse tree is Program

Phase 2: Parser



- A parser reads the string of tokens returned by a scanner and performs the following two tasks:
 - 1. Confirm whether this string has a *valid structure* in the programming language or not
 - 2. Generate a tree representation, called the <u>parse tree</u>, of the input code structure
- The parser returns a syntax error if the code structure of the input program is invalid for the given programming language

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Scanner_



Porser ---

- كل له tokens الي بفوتوا عليه لازم ركونها جع لافع تشييكو المنا tokens عليه عن المحالية المناه المن

* Syntox ellor Scanner Pouxer evror evror

Syntactic Structure



- A string of tokens is legal if it has a valid syntactic structure in the programming language
- But how can a parser validate the code structure of an input program?
 - For a human language such English or Arabic, it is easy, just check the grammar
 - Compilers do the same! They check the grammar of the programming language

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<u>Gramma</u>r



- Set of production or derivation rules that describe how to form strings in a language
- The English language has a grammar: a set of rules that describe how a *sentence*, i.e, <u>a set of words</u>, can be structured
- A programming language has a grammar: a set of rules that describe how a code *statement*, i.e., a set of tokens, can be structured

A Sentence in English



- In English, we have the following production rules
 - A "SENTENCE" can have the structure "SUBJECT VERB OBJECT"
 - A "SUBJECT" can have the structure "PRONOUN"
 - A "VERB" can have the structure "AUXILIARY"
 - An "OBJECT" can have the structure "ADJECTIVE"
 - A "PRONOUN" is "he | she | it | ..."
 - An "AUXILIARY" is "is | was | has | ..."
 - An "ADJECTIVE" is "big | small | ..."
- Exercise: use the above rules to validate the structure of the sentence "He is late"

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An Assignment Statement In C



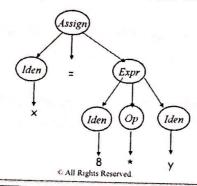
- In C, we have the following production rules
 - A "STATMENT" can have the structure "ASSIGN STATMENT"
 - A "ASSIGN_STATMENT" can have the structure "IDENTIFIER EQUAL EXPRESSION SCOLON"
 - An "IDENTIFIER" is any sequence of [a-z] characters
 - An "EQUAL" is "="
 - An "EXPRESSION" is "IDENTIFIER OPERATOR IDENTIFIER"
 - An "OPERATOR" is "+ | | * | ..."
 - An "SCOLON" is ";"
- Exercise: use the above rules to validate the structure of the sentence "x = x + y;"

The top level of the parse tree as the program

Parse Tree



- The parser produces a parse tree for a valid input program, which is a tree representation of the syntactic structure in the input code
- Parse trees are really intuitive see the below example:



Syntax error Scanner Parse error error

So Far: Scanner and Parser



- Both scanning and parsing analyze the program text for syntax errors, i.e., they check the "structure"
 - The term syntax analysis is used to refer to both steps: scanning and parsing
- · However, they do not check the "meaning"
 - Example: "X = Y + 1;" is syntactically correct but meaningless if Y is a string and X is an integer
- Therefore, the third and last step of the front-end compiler is to check the semantics, i.e, the "meaning" of the input program

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Phase 3: Semantic Analysis



- The purpose of semantic analysis is to
 - 1. Check for semantic errors
 - 2. Build a data structure for storing declared variables, called the symbol table
- 3. Convert the parse tree into another data structure, called the *intermediate representation (IR)*

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Extring

Y = X

X = String

Y = int

(X = X + yi)

LO Struction i

Semantic

error

zzi biji to aik

String ze int

Examples on Semantic Errors



- 1. Multiple declaration of the same variable within the same scope
- 2) A variable is not declared before it is used
- A function call with the incorrect number or type of arguments
- 4. An algebraic expression performing operations with invalid types

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Symbol Table



- A data structure maintained by the compiler for storing information about declared identifiers in the input program
- Examples of stored information in symbol tables:
 - Each variable's type and scope
 - Each function's return type and number and type of its arguments
 - Each class's name and relationships
 - etc

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Symbol Ly class name Ly varrable name Ly function name

Intermediate Representation (IR)



- A popularly used term for describing the internal representation of the input program by the compiler
- The IR preserves the meaning of the input program
- · Some compilers may use the parse tree as an IR
- · Other IR formats are also available
 - For flexibility
 - The selection of the IR format significantly affects the design and implementation of the back-end compiler

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IR Example: Three-Address Code



- A popular IR in compilers is the three-address code
 - Each instruction can take at most three operands
 - Easy to map into machine (i.e., assembly) code
- Three-address code Example

Label L1 Add $a, b \Rightarrow T$ SW $T \Rightarrow c$ Add $i, 1 \Rightarrow i$ BEQ i, 10, L1

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Back

Phase 4: Optimizer



- The purpose of this phase is to improve the translated program in some discernable way
 - Minimize execution time

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- Minimize memory footprint
- Minimize power consumption
- = etc
- Golden rule: optimization must preserve correctness, i.e., the meaning of the input program
- An optimization technique must guarantee that any changes this technique performs on the IR preserve correctness

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MANAMANA

Optimization Example: **Dead Code Elimination**



- Dead code is a code segment whose execution result does not affect the result of the program
- Example: in the below code example, the statements "b = a;" and "d = c + ..." are dead because they do not affect the final result

```
void main (){
  int a, b, c, s
  a = 1;
    = a
 c = a + a * a + 100 ;
d = c + c * c + 100 ;
```

Write an optimized code for function main

Assuming that you want to develop a compiler analysis that eliminates dead code, in your opinion, how can this analysis guarantee correctness?

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Optimizations are Challenging



 Optimizing programs correctly and efficiently require compiler analyses to prove whether certain properties hold or not in the compiled programs

This is challenging for many reasons, some of which are:

- •) Compilers do the translation offline, where the knowledge about runtime behavior is incomplete
 - Some optimizations can be machine-sensitive, i.e., different machines may have different performances for the same program
 - Some optimizations can also be application-sensitive, different programs may have different performance for the same machine

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But Doing Optimizations is What Gets You Paid

- Compilers that are "smart" will always be in demand
- For example, consider the following issue:
 - New architectures with new performance considerations are coming out everyday
 - Therefore, old codes may become slow and obsolete
 - Hiring programmers to rewrite old codes is <u>expensive</u> and <u>time</u> <u>consuming</u>
 - Cheaper solution: perform compiler optimizations on old codes to make them run faster!

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Phase 5: Code Generator



- The code generator maps the IR code into the target machine code
 - E.g., MIPS or x86 assembly code
- Main tasks of code generation
 - Instruction selection: which machine instructions to use
 - 2) Instruction scheduling: which order of machine instructions to use
 - 3. Register allocations: map variables to physical registers
 - o Input programs (as well as front-end compilers) assume unlimited memory, for simplicity
 - o Back-end compilers deal with reality: machines have finite resources # All Rights Reserved

Lecture 3

Scanners Spring 2018/2019

Instructor: Dr. Fahed Jubair Computer Engineering Department University of Jordan



Scanner Role Program Text Tokens Tree Tree Tree Tree

- Scanner (or lexer) role is to convert the input stream of characters into a string of tokens
- Tokens define the minimal syntactic unit in a program
- A token has a type and a value:
 - E.g., '5' is an integer with value 5
 - E.g., 'x' is an identifier with value x
 - E.g., '=' is an operator with value =

Basic Questions For Scanners



1. How tokens are defined?

Regular Expressions

- 2. How tokens are recognized for a stream of character?
- 3. How scanners are coded?

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Regular Expressions



- A regular expression t describes the rules of which all string patterns for a language L with an alphabet Σ can be formed
 - This language is said to be a regular language and is denoted by L(t)
- An alphabet Σ defines a finite set of characters that all strings in a language may contain
 - E.g., integers have $\Sigma = \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

Basic Regular Languages



- If a single character $x \in \Sigma$, then x is a regular expression denoting the regular language $\{"x"\}$
- The empty string ε = "" is a regular expression and $\{\varepsilon\}$ is a regular language with one member: ε
- The empty set ϕ is the regular language that contains no string members

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Basic Operations For Building Regular Expressions



- If s and t are regular expressions, then
 - The concatenation (st) is also a regular expression
 - The alternation (s | t) is also a regular expression
 - The kleene closure s^* is also a regular expression, where $s^* = \varepsilon |s| ss |sss| ssss| ...$
 - \circ i.e., s^* denotes zero or more occurrences of s
 - The positive closure s^+ is also a regular expression, where $s^+ = s \mid ss \mid sss \mid ssss \mid ...$
 - o i.e., s^+ denotes one or more occurrences of so Note that $s^+ = s s^*$

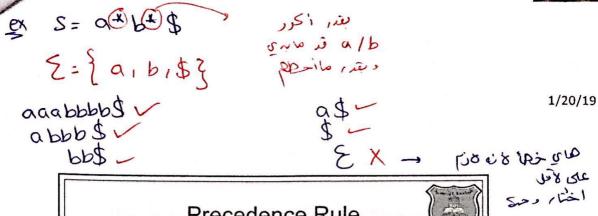
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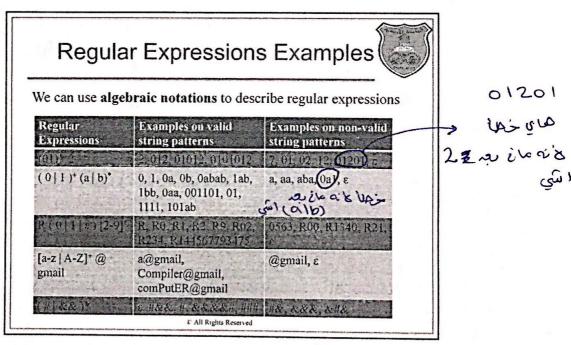


Precedence Rule



- 1. Parenthesis ()
- 2. Kleene Closure *
- 3. Concatenation
- 4. Alternation

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Tokens and Regular Expressions



- · We will now describe the regular expressions of the following tokens:
 - Literals
 - Identifiers
 - Comments
 - Reserved words
 - Operators
 - Punctuations

Often found in programming languages

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Strings / ואנשון Literals



- let D be a single digit integer, I be an integer number, and E be a real number
- We can describe the regular expressions D, I and E as follows:

$$D = (0|1|2|3|4|5|6|7|8|9) = [0-9]$$

 $I = D^{+} = [0-9]^{+}$ in teger numbers
 $E = D^{+}$. $D^{+} = [0-9]^{+}$. $[0-9]^{+}$ Float numbers

• Exercise: write a regular expression that describes integers with no leading 0s, i.e., numbers such as 01 and 001 are not allowed, but numbers such as 0, 10, and 201 are allowed

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Solution for Exercises -

مشان إذا بده Zero Es w

Exercise @ 8 [A-2] ([a-2] | [a-2] | [a

Exercise 38 ([a-7] [A-7] [0-9])* 0

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Identifiers



• Let IDEN be any string that has any of the following characters: a-z, A-Z, 0-9.

 $IDEN = ([a-z] | [A-Z] | [0-9])^{+}$

- Exercise: rewrite the regular expression of IDEN so that the first character in the identifier string can only be a capital letter
- Exercise: rewrite the regular expression of IDEN so that it must have the substring '00'
- Exercise: rewrite the regular expression of IDEN so that it must end with the character '0'

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Comments



- Different programming languages have different format for comments
- As an example, Assume that a comment must start and end with ##
 - The character "#" may appear inside the comment
- For a given alphabet Σ , if $x \in \Sigma$, then we define Not(x) to be the set of all characters in Σ except x
- The following regular expression describes comments:

COMMENT = ## ((# | ε)(Not(#)))* ##
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- + Por mad e.j. v body) => #= 2) við ## b=1 Comment) & D. D. o Sie

Valid Comment

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Reserved Words, Operators and Punctuations



- · Generally, reserved words, punctuations and operators have unique strings
- Their regular expressions are straightforward
- Examples
 - IF = (if)
 - \bullet GT = (>)
 - GEQ = (>=)
 - We add '' to distinguish an input character from meta-• LPARN = (00)characters
 - RPARN = (')')

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Exercises



- For each of the following regular expressions, write four possible string patterns:
- r(0|(1|2)[0-9]|3[0-2])
- (3 (a | (bc)* d)+
- (u) (a Not(a))* aaa

Exercise (2)8 (1/0)* 0 (1/0)* 0 (1/0)*

Exercise (3)8 (0/1/1) + (0/8)

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Exercises



- Write a regular expression that defines a C-like, fixed-decimal literal with no superfluous leading or trailing zeros. That is, 0.0, 123.01, and 123005.0 are legal, but 00.0, 001.000, and 002345.1000 are illegal.
- Write a regular expression that describes all strings of 0's and 1's with at least two 0's. For example, 0100, 01110, and 00 are legal but 1, 10, and 1011 are not.
- Write a regular expression that describes all strings of 0's and 1's such that two consecutive 0's are not allowed. For example, 0, 1, 0110, and 1010 are legal but 00, 0010, and 101001 are not.

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Basic Questions For Scanners



1. How tokens are defined?

Regular Expressions

2. How tokens are recognized for a stream of character?

Finite Automata

1. How scanners are coded?

Finite Automata (FA)



Accept the token of Udio Reject the token of not Udiod

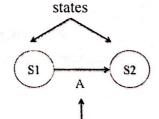
- Assume you are given a string S and a regular expression R that expresses a token class T
- A finite automaton (FA) is a <u>finite state machine</u> that accepts S if S ∈ L(R), and the token class of S would be T. Otherwise, S is rejected
- By building proper finite automata, a scanner can recognize tokens for a stream of characters, as well as identify erroneous tokens

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Finite State Machines



- First, let us review finite state machines (FSMs)
- A FSM consists of sets and transitions that are eventtriggered
 - In scanners, an event occurs when a new input character is consumed
- FMSs memorize previous events (how?)



A transition from state S1 to S2 occurs when event A is triggered

Finite Automata (FA)



- · A FA consists of
 - 1. A finite set of states S
 - 2. A start state n
 - 3. A finite alphabet Σ
 - 4. A set of accepting states G, where $G \subseteq S$
 - 5. A set of transitions $s_i = a_i s_j$, where $a \in \Sigma$, s_i , $s_i \in S$
- Basically, a transition occurs in the FA when a new input character is consumed
- If the input character does not correspond to any transition, then the FA advances to the error state

Consists of State

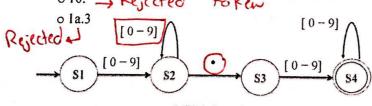
-transitions -accept state

An FA Example



- The below FA is generated for the regular expression: $E = [0 - 9]^+$. $[0 - 9]^+$
 - Specify S, n, Σ , G and all transitions in the FA
 - Does the FA accept or reject the following inputs (show your answer)?

0090.011 Accepted token 010. → Rejected token



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Start State -

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Accepted because it Brushed

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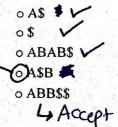
alphabet = {0,1,2, -,9,03

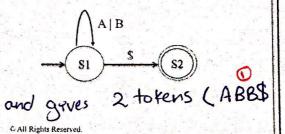
Scanned with CamScanner

Another FA Example



- The below FA is generated for the regular expression:
 E = (A | B)*\$
 - Specify S, n, Σ, G and all transitions in the FA
 - Does the FA accept or reject the following inputs (show your answer)?







Finite Automata Types

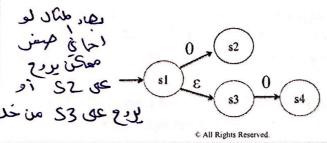


- Two types of FA:
 - Deterministic Finite Automata (DFA)
 - Non-deterministic Finite Automata (NFA)
- In order to explain each type, we first need to introduce the concept of ε -Transitions

ε-Transitions



- The ε -transition $s \varepsilon + w$ allows moving from state s to state w without reading any alphabet input
 - i.e., ε-transition is unconditional move
- ε-transitions allow states in a FA to have multiples moves for the same input



بربع على 33 من خدا له (ع) معديد

DFA and NFA



- A deterministic finite automata (DFA) is a FA where all transition are unique, i.e., if $s \xrightarrow{c} w$ and $s \xrightarrow{c} z$, then w = z
- DFAs do not have ε-transitions
- A FA where states can have multiple moves for the same input is called a non-deterministic finite automata (NFA)
- Unlike DFAs, NFAs can have ε-transitions
- Note that NFA is a generalization of DFA, i.e., every DFA is also an NFA

Which FA to Use?



- Which FA: <u>DFA or NFA</u> should be used for recognizing tokens?
 - In general, either can be used because both are equivalent in terms of computation power
 - The main difference is their implementation
 - DFAs are usually easier to implement and faster to execute due to their transitions being unique
 - NFAs are generally smaller, i.e., less memory is needed
 - We will learn how to construct both NFAs and DFAs for regular expressions

Constructing FA For Regular Expressions



6 to 0/60 = 4.

- Constructing FA for regular expressions is not trivial
- For example, try guessing the FA for the following regular expression: (a | (bc)* d)
- We need an algorithmic approach for constructing FAs from regular expressions

1/20/19

Finite Automata Construction



- 1. Thompson's construction
 - An algorithm that generates an NFA for a regular expression
- 2. Subset construction
 - An algorithm that converts an NFA into a DFA

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Thompson's Construction



Key idea is simple

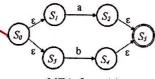
- Draw NFA pattern for each symbol & each operator
- Join them with ε moves in precedence order

So a Si

 S_{i} \xrightarrow{a} S_{i} $\xrightarrow{\epsilon}$ S_{3} \xrightarrow{b} S_{c}

NFA for a

NFA for ab



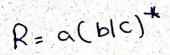
 $S_0 \xrightarrow{\epsilon} S_1 \xrightarrow{\epsilon} S_2$

NFA for a | b

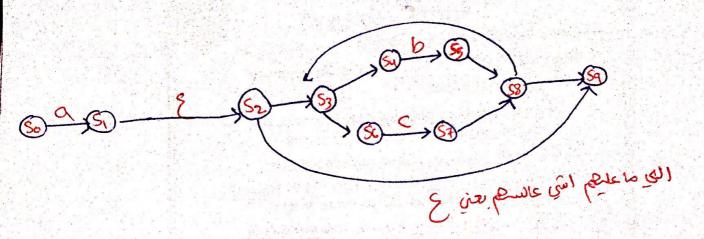
NFA for a*

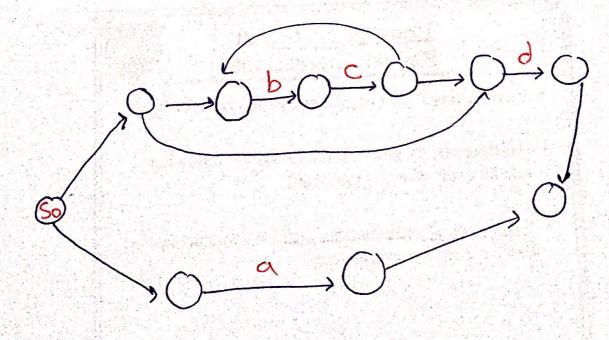
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م هاي ۵۲ كانه بقدر أمو من فوى اد من ك*ن* كاوفهل 55 ها کلمهاهههای م هانه هازی امر د ۹ مجدید، ط عشان ا دعمه ۲۹

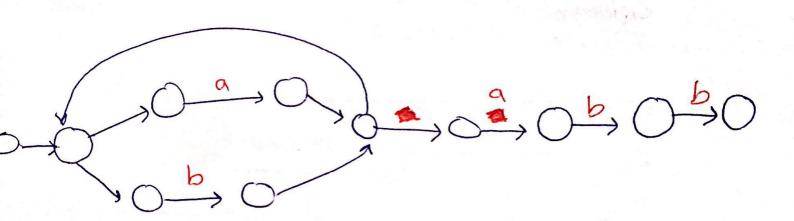


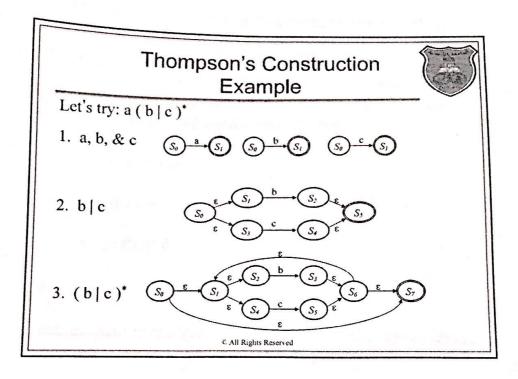
عشانا نوسها ادل افتي نيبش من (عاط) من الاسعات اله على طالع قبل معديد خسيت * بعديد م

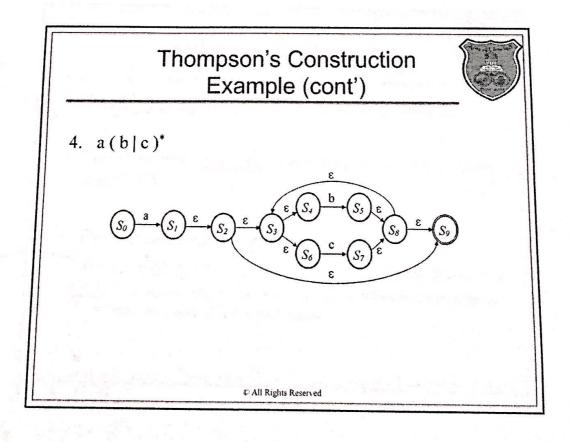




R= (alb) abb







Exercise



- Use Thompson's construction to determine the NFA for the following regular expressions
 - (a|b)* abb
 - r [0 9]+
 - (a|(bc)* d)

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Subset Construction



- Transforms an NFA N into an equivalent DFA D
- The algorithm <u>associates</u> each state of D with a set of states of N
- The algorithm uses two key functions:
 - $\underline{\varepsilon}$ -Closure(x): returns the set of states reachable from x by ε $\underline{\mathsf{Move}(X,a)}$: returns the set of states reachable from X by a,
 - Move(X, α): returns the set of states reachable from X by where $\alpha \in \Sigma$ and X is a set of states

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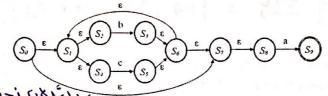
م برجع کل لاسع اله ع دندي سبومسن د پ

> برجع کل لاسعم الق بتوفهلي له ع باستخدام ه

ε-Closure Function



- The ε-closure function determines, for a state x, all states that can be reached via ε-transitions in the NFA
- · Example:



أدل الشي

ε-closure $(S_0) = (S_0)$, S_1 , S_2 , S_4 , S_7 , S_8 } ε-closure $(S_3) = \{S_3, S_6, S_1, S_2, S_4, S_7, S_8\}$ ε-closure $(S_4) = \{S_4\}$

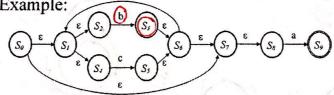
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Move (X, a) Function



 The Move(X, a) function determines, for a set of states X, all states that can be reached via "atransitions"

• Example:



Move $(\{S_3, S_6, S_1, S_2, S_4, S_7, S_8 \}, b) = \{ S_3 \}$

Move $(\{S_3, S_6, S_1, S_2, S_4, S_7, S_8\}, c) = \{\{S_5\}\}$

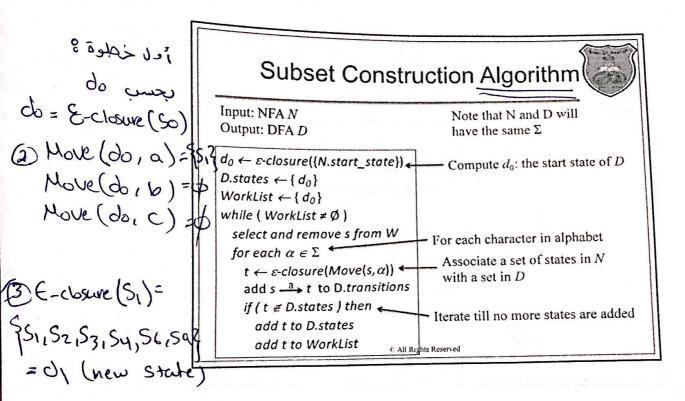
Move $(\{S_3, S_6, S_1, S_2, S_4, S_7, S_8\}, a) = \{\{S_9\}\}$

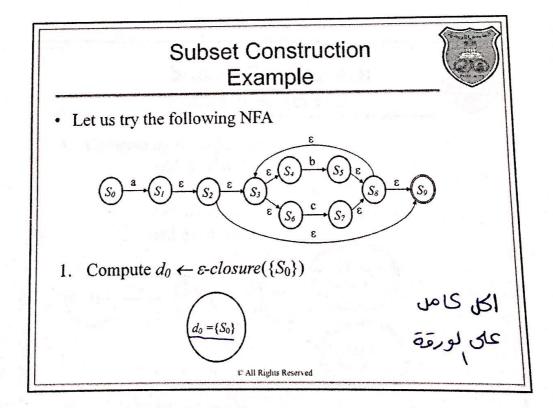
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بدور على سطم اسمه ط و مبشوف شو الهي بدخل عليها د سثو المناتج و کموان مرجون لمناتج

را معلق المعدد المعدد

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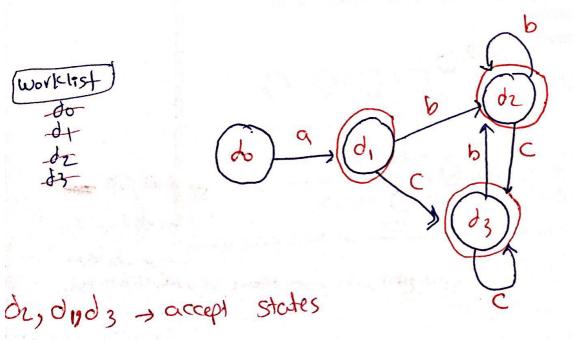
D do =
$$\mathcal{E}$$
 closure $(S_0) = \frac{5}{5}S_0 \frac{3}{5}$

D Move $(d_0, \alpha) = \frac{5}{5}S_1 \frac{3}{5} \rightarrow \mathcal{E}$ -closure = $\frac{5}{5}S_1, S_2, S_3, S_4, S_6, S_6 \frac{3}{5}$

Move $(d_0, \alpha) = 4$

Move $(d_0, \alpha) = 4$

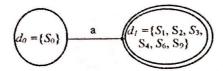
(new State)



Subset Construction Example (cont.)



2. Compute $d_l \leftarrow \varepsilon$ -closure(Move(d_0 , a)) add $d_0 \xrightarrow{a} d_l$ add d_l to WorkList

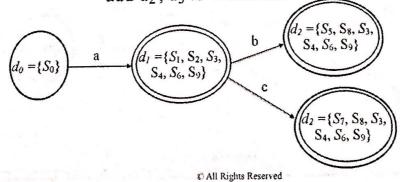


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Subset Construction Example (cont.)



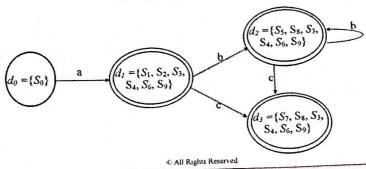
3. Compute $d_2 \leftarrow \varepsilon$ -closure(Move(d_1 , b))
add $d_1 \xrightarrow{b} d_2$ $d_3 \leftarrow \varepsilon$ -closure(Move(d_1 , c))
add $d_1 \xrightarrow{c} d_3$ add d_2 , d_3 to WorkList



Subset Construction Example (cont.)



4. Compute $d_4 \leftarrow \varepsilon$ -closure($Move(d_2, b)$) but $d_2 = d_4$, add $d_2 \xrightarrow{b} d_2$ $d_5 \leftarrow \varepsilon$ -closure($Move(d_2, c)$) but $d_3 = d_5$, add $d_2 \xrightarrow{c} d_3$



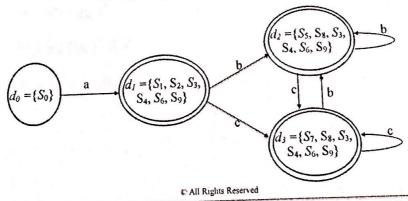
Subset Construction Example (cont.)



5. Compute $d_6 \leftarrow \varepsilon$ -closure($Move(d_3, b)$)

but $d_2 = d_6$, add $d_3 \xrightarrow{b} d_2$ $d_7 \leftarrow \varepsilon$ -closure($Move(d_3, c)$)

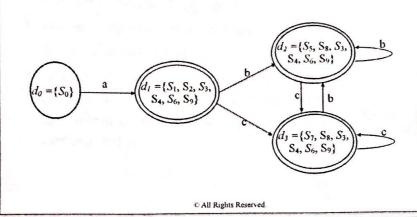
but $d_3 = d_7$, add $d_3 \xrightarrow{c} d_3$



Subset Construction Example (cont.)



6. WorkList is empty, terminate the algorithm



Exercises



- Construct a DFA for the following regular expressions:
 - (ab | ac)*
 - (a|(bc)*d)
 - a b* c | a b c*

Bonus: DFA Minimization



- DFAs generated with the Subset construction are not necessarily optimal
- As one example, the DFA we obtained in slide 42 is not optimal (why?)
- Section 2.4.4 introduce the Hopcroft's Algorithm: a minimization algorithm to transform a DFA into an equivalent but optimal DFA

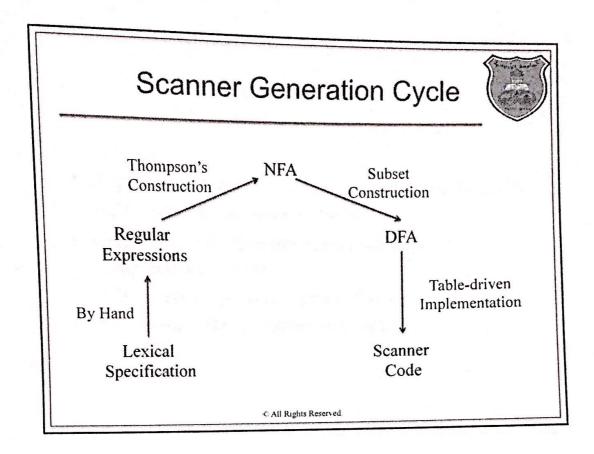


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Basic Questions For Scanners



- 1. How tokens are defined?
 - Regular Expressions
- 2. How tokens are recognized for a stream of character?
 - Finite Automata
- 1. How scanners are coded?
 - 1. Table-driven implementation
 - 2. Automatic scanner generators



Coding The DFA



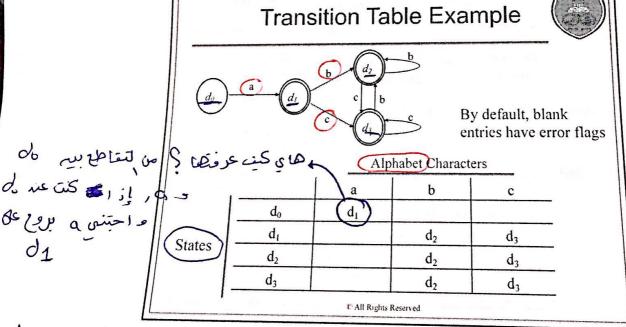
- Multiple methods have been used to implement scanners
- We will consider the table-driven form, the more common method for building scanners
- Table-driven scanners take advantage of *transition diagrams*: a tabular representation of FA

Transition Table



- A transition table T is a 2D array indexed by a FA state s and an alphabet symbol c
- Each entry T[s,c] in the transition table is computed as follows:
 - If the transition $s \xrightarrow{c} w$ exists, then T[s,c] = w
 - Otherwise, T[s,c] contains an error flag

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اطرح لعا خس معنی error

destruction

I be alphabet. State in the til is

Table-Driven Implementation



• Direct and simple interpretation of a FA's transition Table T

/* Assume CurrentChar contains the first character to be scanned ★/
State ← StartState
while true do

NextState ← T[State, CurrentChar]

if NextState = error

then break

State ← NextState

CurrentChar ← READ()

if State ∈ AcceptingStates then /* Return or process the valid token */

else /* Signal a lexical error */

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Automatic Scanner Generators



Regular Expressions



Scanner Code

- · Software tools that automatically generates scanners' codes
- As an input, programmers only need to specify regular expressions (usually written inside a text file)
- Internally, these tools will do the transformations we did manually before:

Regular Expressions → NFA → DFA → scanner code

- Two popular scanner generators:
 - Lex codes are generated in C/C++
 - ANTLR codes are generated in Java

ANTLR



- http://www.antlr.org/
- Very popular <u>parser and scanner</u> generator tool
- As an input, ANTLR reads a text file that contains the language *grammar*, as well as the regular expressions of the language tokens
 - We will describe grammars when we study parsers
- ANTLR has two key components:
 - 1. ANTLR tool: converts the grammar into a scanner and a parser
 - 2. ANTLR runtime: set of classes and methods needed when compiling and running the scanner and parser codes
- We will use ANTLR v4 for our course project

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ANTLR Input Example



// Define a grammar in a file called Hello.g4 grammar Hello;

r: 'hello' ID; // match keyword hello followed by an identifier

ID: [a-z]+; // match lower-case identifiers

ANTLR Tool Output



- Let us run the ANTLR tool on the grammar example \$ java -jar antlr-4.5.3-complete.jar Hello.g4
- The ANTLR tool generates the following outputs:

Scanner code

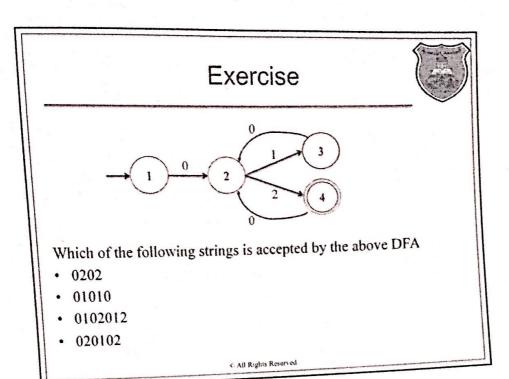
- · HelloLexer.java
- Hello.tokens
- HelloLexer.tokens
- · HelloParser.java
- HelloListener.java
- · HelloBaseListener.java
- Read more from the ANTLR v4 Book
 https://pragprog.com/book/tpantlr2/the-definitive-antlr-4-reference

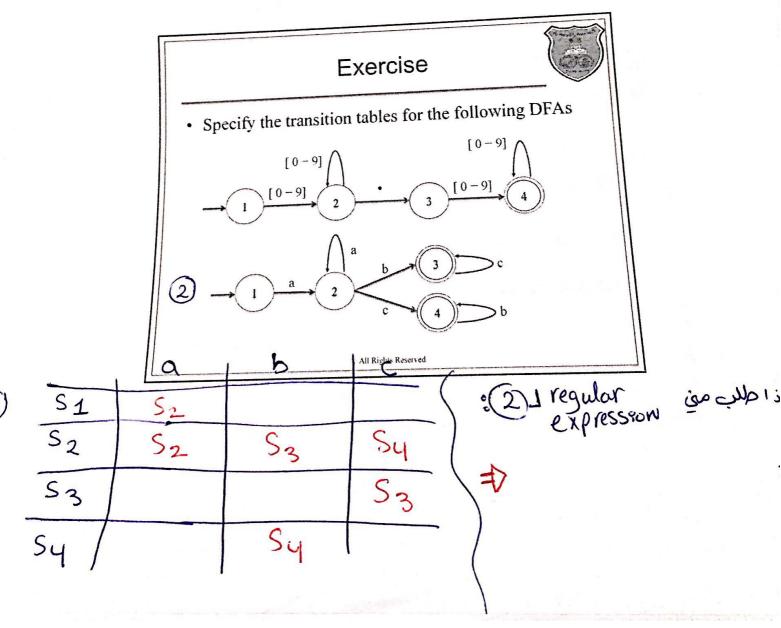
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Summary



- Scanning (or lexical analysis) is the first step in the compilation process
- Scanners convert the input program text into a string of tokens
- Tokens define the minimum syntactical unit in programming languages
- Scanners take advantage of the concepts of regular expressions and finite automata in its implementation
- Automatic software tools for generating source codes of scanners are available





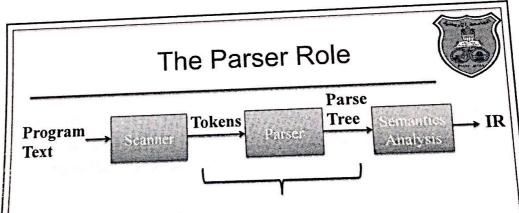
Lecture 4

Introduction To Parsing Spring 2018/2019

Instructor: Dr. Fahed Jubair Computer Engineering Department University of Jordan



grammary - min



- The parser role is to
 - 1. Determine whether or not a string of tokens is a syntactically valid sentence in a programming language
 - 2. <u>Build the parse tree</u>, a tree representation that describes the code structure of the input program

Longuage → L Grammar → G Strang of tokens -> N

What is Parsing?



- For a given language that has a grammar G a string of tokens N is a valid sentence in L if there is a sequence of derivation steps that derives N using the production rules of G
- Parsing is essentially the process of discovering a derivation for some sentence in a language

Process of discovering a Palse tree

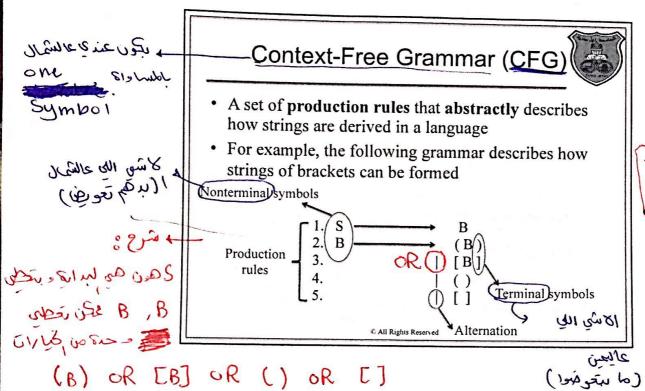
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Roadmap



- First, we will study <u>context-free grammars</u>: a mathematical model for describing syntax in programming languages
- Second, we will study top-down parsing: an

 Dalgorithm for testing the membership of sentences in a language using the rules of a context-free grammar
 - We will also cover how to write the code of top-down parsers



Tokens = \
Terminals

Deriving Sentences with A CFG



- S is called the start symbol because its where the derivation starts
- Let us try deriving the string "[[()]]"

1.	S	*	\mathbf{B}
2.	B		(B)
3.			[B]
4.			()
5.		į	[]

 $S \Rightarrow B$

Start with rule 1

 $\Rightarrow [B]$

Use rule 3

 $\Rightarrow [[B]]$

Use rule 3

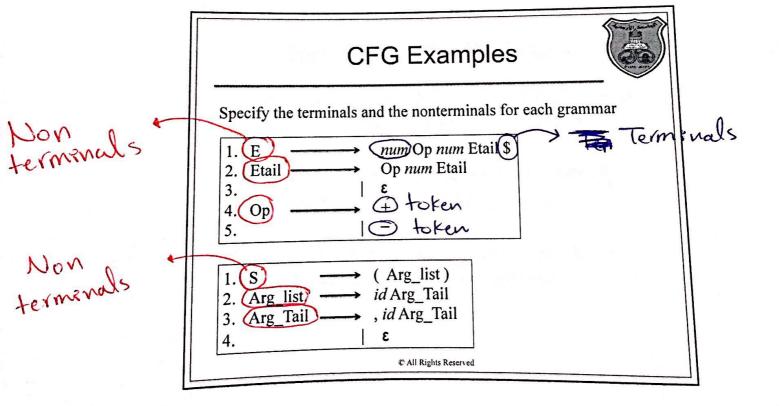
 $\Rightarrow [[()]]$

Use rule 4

Components of A CFG



- Formally, a context-free grammar G is a quadruple (T, NT, S, P) where:
 - Tis the set of terminals
 - o Terminals are basically the syntactic categories returned by the scanner
 - NT) is the set of nonterminals
 - o Nonterminals are syntactic variables introduced to provide abstraction and structure in the productions
 - sis the non-terminal designated as the start symbol
 - Pis the set of productions in G
 - o Each rule in P has the form NT → (T U NT)+; that is, it replaces a single nonterminal with a string of one or more grammar symbols



Derivation Process



• A derivation consists of a series of rewrite steps

$$S\Rightarrow Y_1\Rightarrow Y_2\Rightarrow \cdots \Rightarrow Y_{n-1}\Rightarrow Y_n\Rightarrow N$$

- 1. The derivation always starts with the start symbol S
- 2. To get Y_i from Y_{i-1} , expand some nonterminal $A \in Y_{i-1}$ by using production rule $A \rightarrow \alpha$
- 3. Repeat (2) until there are no terminals
 - The derivation terminates with N: a valid sentence in the language L(G)

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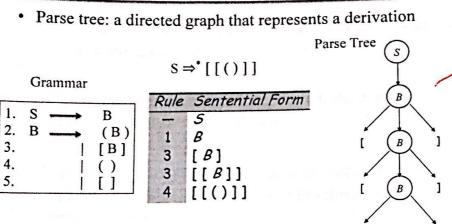
Terminology for Derivation



- A sentential form is a string of terminal & nonterminal symbols that is a valid step in some derivation
- The derivation $\underline{S} \Rightarrow^* \underline{N}$ denotes the start symbol \underline{S} derives the sentence \underline{N} in zero or more steps
- The derivation $S \Rightarrow^+ N$ denotes the start symbol S derives the sentence N in one or more steps

Parse Tree





non terminalisti cecures 1 101 terminals 1 2021

Parse Tree Properties

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- A parse tree has
 - The start symbol at the root
 - Terminals at the leaves
 - Nonterminals at the interior nodes
- A post-order traversal of the leaves yields the original input string
- The parse tree shows which operands associate with which operations

Derivation Types



- 1. Leftmost derivation: replace, at each derivation step, the leftmost nonterminal
- 2. Rightmost derivation: replace, at each derivation step, the rightmost nonterminal
- Of course, replacing nonterminals can occur in any order but the above two orders are the most commonly used

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A Derivation Example

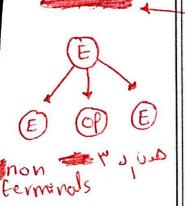


We will use the below grammar to show a leftmost derivation and a rightmost derivation for the string "x + 8 * y"

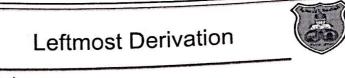
S/E/OP Non terminals id/num/plus/mul terminals.

	1.	S	
0.000	2.	E	— E Op E
	3.		id
	4.		num
	5.	Op	plus
	6.		mul

 The terminals are described by the following regular expressions:



expand alsi guijo اول کی هون بنیجین Leftmost 110 Fis derryation Fight most 101 derruction



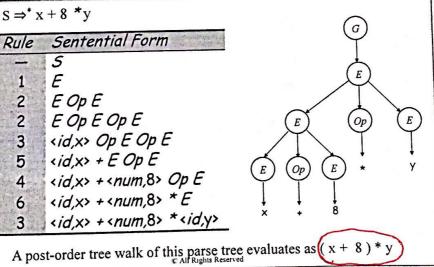
Rule	Sentential Form
-	5
1	E
2	E Op E
3	<id,x> Op E</id,x>
5	<id,x> + E</id,x>
2	<id,x> + E Op E</id,x>
4	<id,x> +<num,8> Op E</num,8></id,x>
6	<id,x> +<num,8> * E</num,8></id,x>
3	<id,x> +<num,8> *<id,y></id,y></num,8></id,x>

A post-order tree walk of this parse tree evaluates as x + (8 * y)

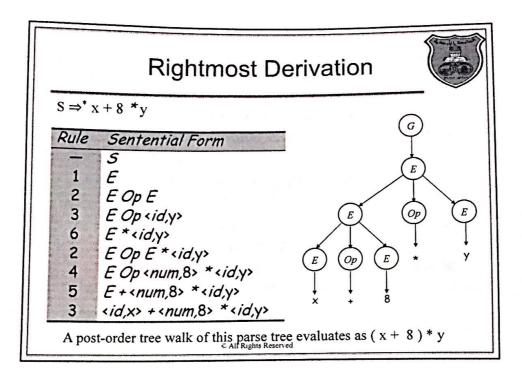
E jours use أكترص احتمال (EOPE/PO/Run) هده اخترت هاه د expression 1, 200 الى عنى فيه spu

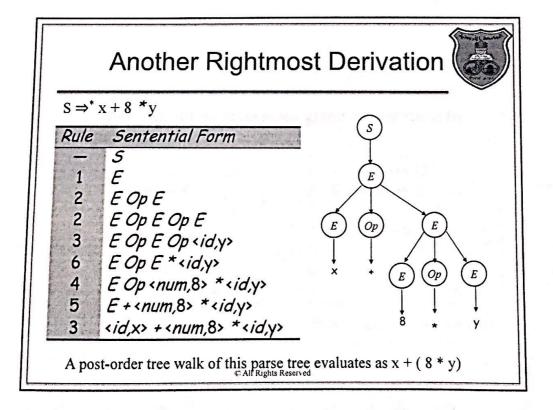
Another Leftmost Derivation





ambiguity on Ceft 1, 815mo





Ambiguity



- A context-free grammar G is ambiguous if it has multiple leftmost (or multiple rightmost) derivations for some string in L(G)
- Equivalently, a context-free grammar G is ambiguous if there is multiple parse trees for some string in L(G)
- Therefore, a context-free grammar G is <u>not ambiguous</u> if all strings in L(G) have unique parse trees
- Ambiguity is bad in a programming language because it can lead the compiler to interpret different meanings for the same program

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Eliminating Ambiguity

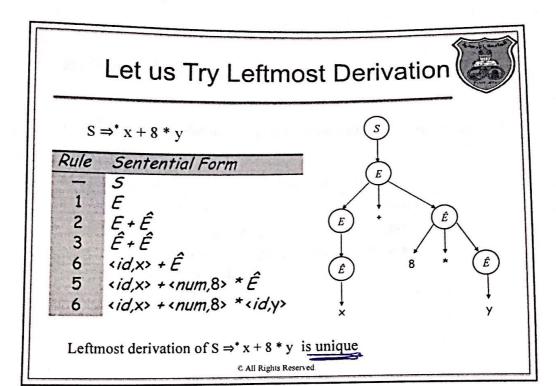


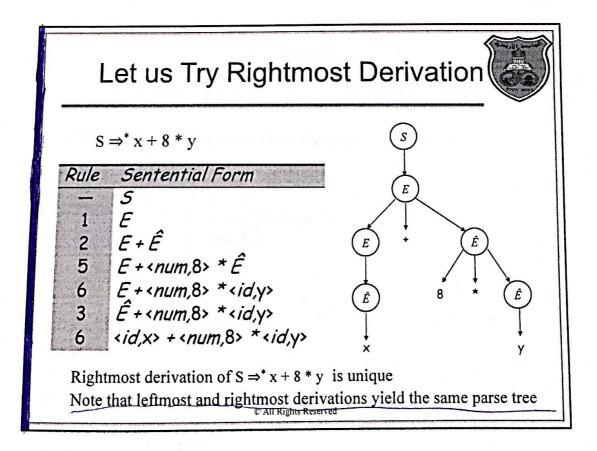
To disambiguate an ambiguous grammar, rewrite it by hand

Ambiguous Grammar

1.	S	
2.	E	→ E plus Ê
3.		l Ê "
4.	Ê	id mul Ê
5.		num mul Ê
6.		id
7.		num

Rewritten Grammar: we gave multiplication precedence over summation





Adding Precedence to Grammars



- Adding precedence to grammars removes ambiguity
- General guidelines to adding precedence:
 - Create a nonterminal for each level of precedence
 - Isolate the corresponding part of the grammar
 - Force the parser to recognize high precedence subexpressions first

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Example: Algebraic Expression Grammar



Straightforward grammar is ambiguous

Exercise: show that parsing the string "(x + 1)/y - 2" is ambiguous

Adding Precedence to Algebraic Expression Grammar



(3)

0

1	Start	\rightarrow	Expr
2	Expr	\rightarrow	Expr + Term
3		1	Expr - Term
4		1	Term
5	Term	\rightarrow	Term * Factor
6		1	Term / Factor
7		1	Factor
8	Factor	\rightarrow	(Expr)
9		1	number
10		1	id
	6 7 8	6 7 8 <i>Factor</i>	2 Expr → 3 4 5 Term → 6 7 8 Factor →

Exercise: show the parse tree for the string "(x+1)/y-2"

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صرن قسم الادلارة كل د دحد ال non ال terminal

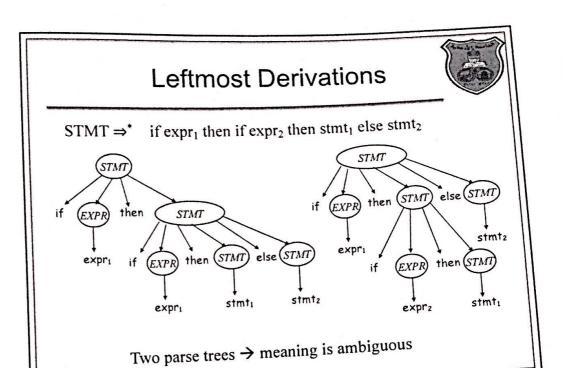
If-then-else Problem



- Another classic ambiguity example
- Consider the following straightforward grammar:
 - 1. STMT
 if EXPR then STMT
 2. | if EXPR then STMT else STMT
 3. | ... other statements ...
- Let us inspect whether leftmost derivation for the following string is unique:
 - " if expr₁ then if expr₂ then stmt₁ else stmt₂"

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Symmetry I or so give The ambiguity



Solution

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 Rewrite grammar to remove ambiguity by matching each "else" to innermost unmatched "if"

1 STMT → if EXPR then STMT
2 | if EXPR then STMT# else STMT
3 | Other Statements
4 STMT# → if EXPR then STMT# else STMT#
5 | Other Statements

Intuition: once into STMT#, we cannot generate an unmatched else

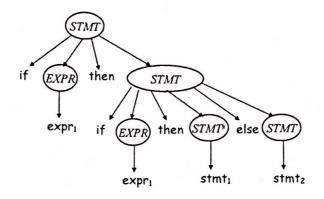
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breaking the symmetry led to breaking the ambiguity.

Parse Tree With Rewritten Grammar



STMT \Rightarrow^* if expr₁ then if expr₂ then stmt₁ else stmt₂



Parse tree is now unique

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Is There An Algorithm To Do it?



- There are no known algorithms to disambiguate ambiguous context-free grammars
- In fact, the problem of deciding if a context-free grammar G is ambiguous or not is *undecidable*
- To deal with ambiguous grammars, compiler writers:
- 1. Modify context-free grammars by hand and ensure their unambiguity
- 2. Or, allow compilers to accept ambiguous context-free grammars
 - Compiler writers include "guidelines" that tell the compiler which parse tree to choose when multiple trees can be generated

Left grammar F

E + num

num

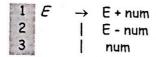
2

we usually choose left associative

Associativity



- We already saw examples on how parse trees determine the evaluation order
- We use the term grammar *associativity* to describe the evaluation order direction in parse trees
- Example: compare the evaluation order when parsing the string (10-2+3) in the following grammars

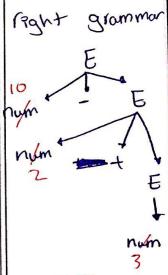


1 E → num + E 2 | num - E 3 | num

Left-associative grammar

Right-associative grammar

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Parse tree

i Str. on one
optimized

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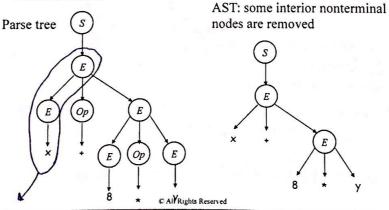
Pourse IV

tree
Lehinin

Abstract Syntax Tree (AST)



Abstract syntax trees are parse tress but may ignore some details



y Less memory and less Complexit

3 40

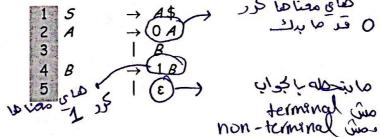
Summary



- Context-free grammars are powerful mathematical model of syntax in programming languages
- For a given context-free grammar G with a start symbol S, the language L(G) is all strings N such that:
 - N contains only terminal symbols, i.e., legal tokens in the language
 - $S \Rightarrow^* N$, i.e, there is a derivation of N in L(G) using the production rules of G
- A Parse tree is a directed graph that represents the derivation of a string in L(G)
- Ambiguity in context-free grammars is undesirable

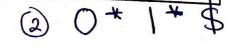
Exercise

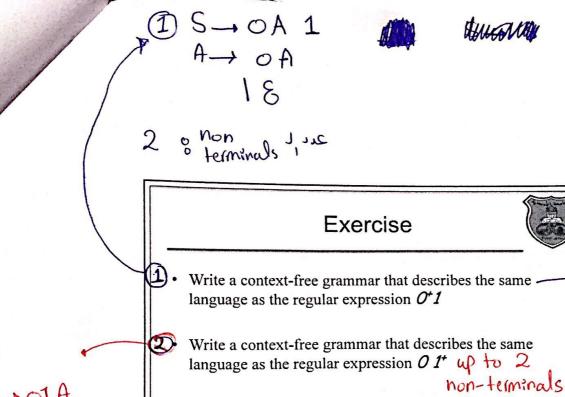




- Specify the terminals and the nonterminals of this grammar
- Write a regular expression that can generate the language described by this grammar
- Using leftmost derivation, draw the parse tree for the string "011\$"

(tokens) terminals & thyman 0,\$,1 Non-terminals & S. A, B





18

non terminal 1 . →Sel S→OA OI

1/25/19

Write a context-free grammar that describes the same language as the regular expression $0^{-1} \mid 0^{-1} \mid 0^{-1}$

Exercise



• Is the following grammar ambiguous? Justify your answer

Lecture 5

Top-Down Parsing Spring 2018/2019

Instructor: Dr. Fahed Jubair Computer Engineering Department University of Jordan



The Parser Role



 $(N) \xrightarrow{\text{String of }} \xrightarrow{\text{Parser}} \xrightarrow{\text{Parse Tree}}$

- The parser processes all tokens returned from the scanner and produces a parse tree that represents the input program structure (or a syntax error if an invalid structure is found)
- In this lecture, we will study top-down parsing: a computer algorithm that builds the parse tree using the derivation rules of a context-free grammar
- There is also bottom-up parsing but it will not be covered by this course

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Parse Tree Properties



- A parse tree has
 - The start symbol at the root
 - Nonterminals at the interior nodes
 - Terminals at the leaves
- A derivation is discovered if a post-order traversal of the leaves (i.e., terminal nodes) match the tokens returned by the scanner

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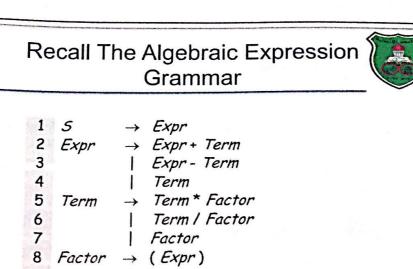
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Top-Down Parsing



- A basic top-down parsing algorithm:
 - 1. Construct the root node of the parse tree
- 2. Repeat until lower fringe of the parse tree matches the string of tokens
 - i. At a node labeled A, select a production with A on its lhs and, for each symbol on its rhs, construct the appropriate child
 - ii. When a terminal symbol is added to the fringe and it doesn't match the fringe, backtrack
 - iii. Find the next node to be expanded

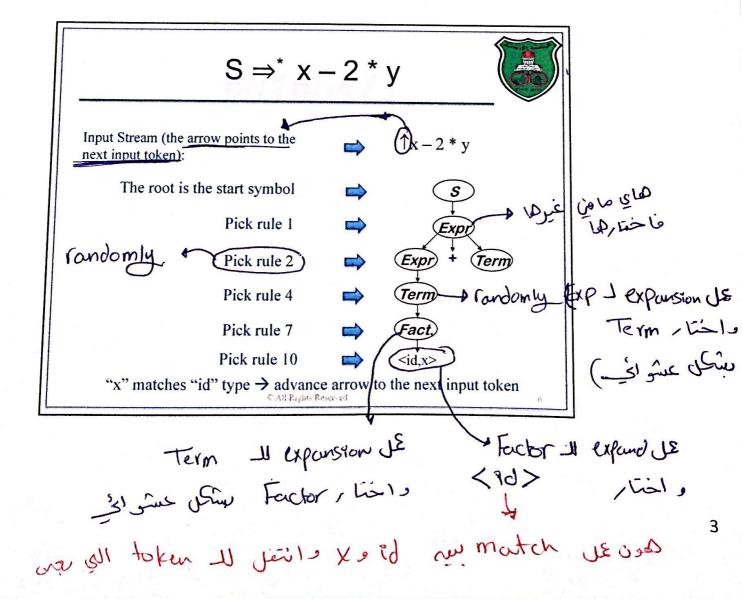
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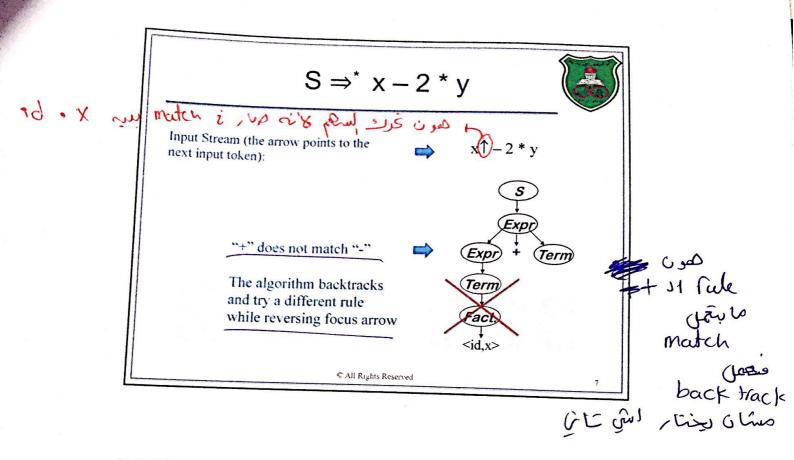


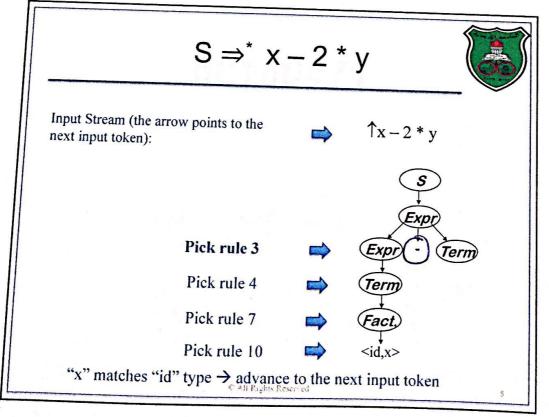
Let us try deriving $S \Rightarrow^* x - 2 * y$ using the basic top-down parsing algorithm

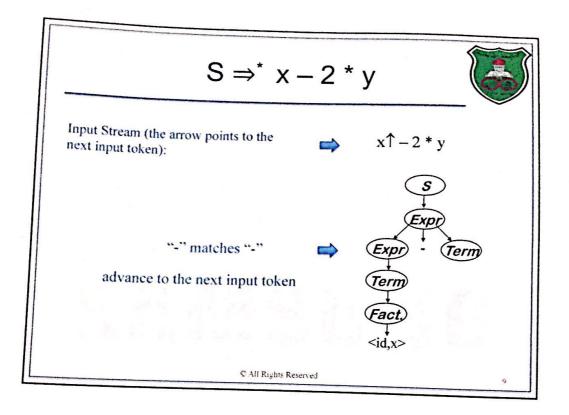
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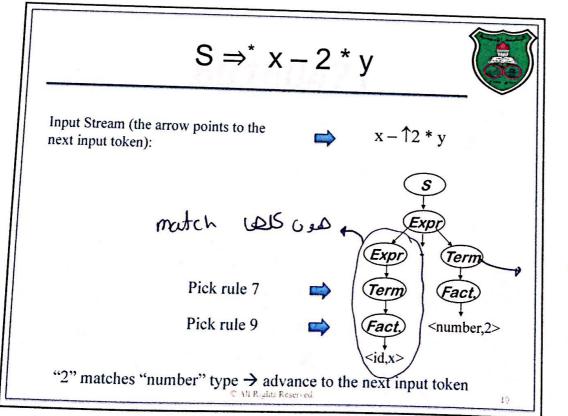
number



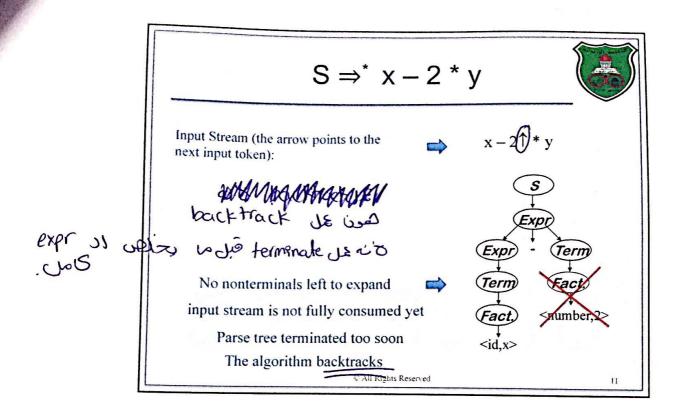


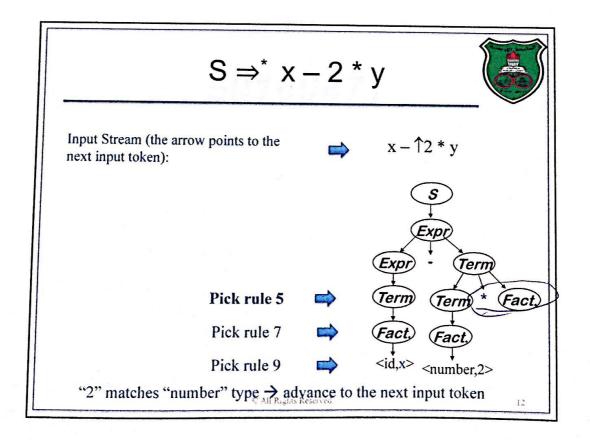


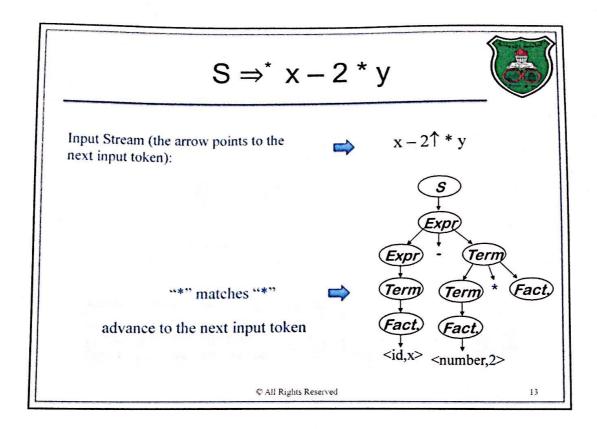


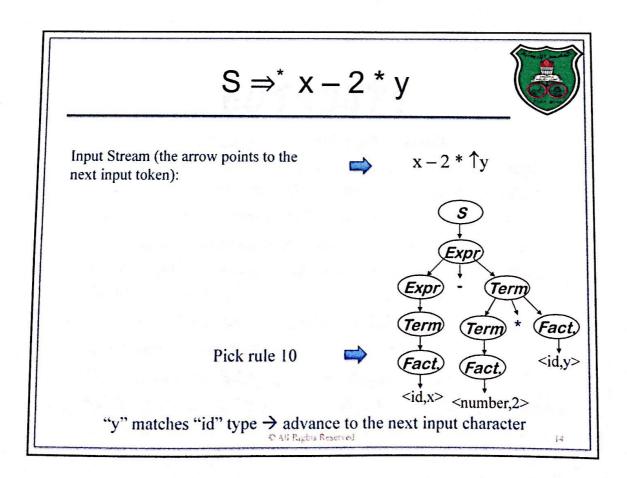


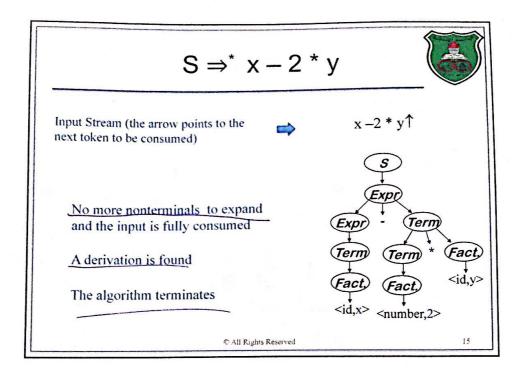
expand des 000 1316 his match











Observations

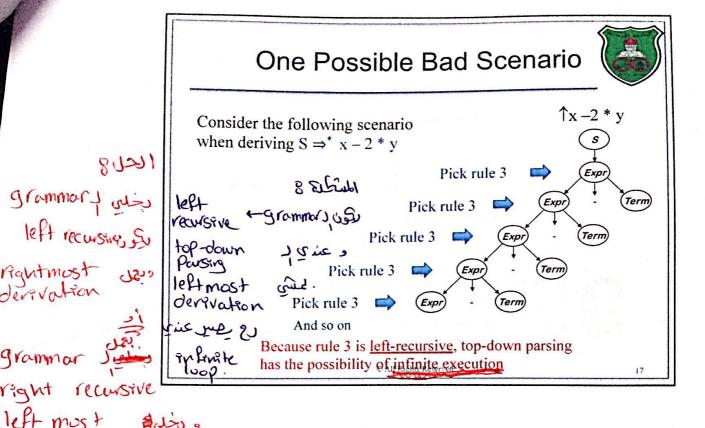


- Top-down parsing uses leftmost derivation
- Top-down parsing begins with the root of the parse tree and systematically extends the tree downward until its leaves match the tokens returned by the scanner
- If the parser expands the lower fringe using the wrong production, a mismatch between the leaves and the tokens eventually occurs
- The parser backtracks, undo its actions and start over with a different production
- The parser terminates with a syntax error if all productions are used and no match for the string of tokens is found

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baktrack. JEI Fix mission JEI i!



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The Left Recursion Problem



1	5	\rightarrow	Expr
2	Expr	\rightarrow	Expr + Term
3	-	1	Expr - Term
4		1	Term
5	Term	\rightarrow	Term * Factor
6		1	Term / Factor
7		ĺ	Factor
8	Factor	\rightarrow	(Expr)
9		1	number
10		i	id

- Recursive use of rules 2, 3, 5 and 6 leads to an infinite sequence of expansions
- Non-termination is definitely a bad property for compilers
- We refer to this problem as the left recursion problem

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Eliminating Left Recursion



- Solution: rewrite grammars so that they are right-recursive
 - By hand
 - Using an automatic tool
- Consider the following simple left recursive grammar:

$$A \rightarrow A\alpha$$
| β



• We (or an automatic tool) can rewrite the grammar as follows:

$$\begin{array}{ccc}
A & \rightarrow & \beta \hat{A} \\
\hat{A} & \rightarrow & \alpha \hat{A} \\
& \mid & \varepsilon
\end{array}$$

The new grammar is right-recursive

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recursive when

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Let us Generalize

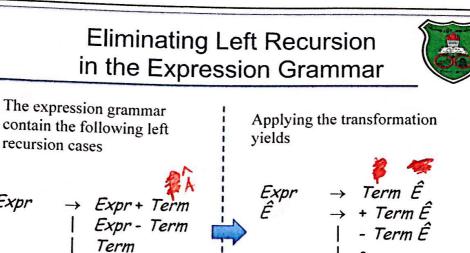


A general left-recursive grammar

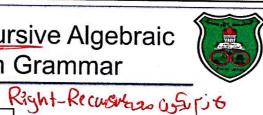


The equivalent right-recursive grammar

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The Right-Recursive Algebraic **Expression Grammar**



1	5	→ Expr
2	Expr	$ ightarrow$ Term $\hat{\mathcal{E}}$
3	Ê	$ ightarrow$ + Term $\hat{\mathcal{E}}$
4		- Term Ê
5		ε
6	Term	→ Factor Ť
7	Ť	→ * Factor Ť
8		/ Factor Ť
9		Ι ε
10	Factor	\rightarrow (Expr)
11		number
12		id

Expr

- A top-down parser will always terminate when using this grammar
- Exercise: show the parse tree of $S \Rightarrow^* x - 2 * y$ using the basic top-down parsing algorithm

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How to Code Top-Down Parsers?



- Multiple approaches have been introduced in the literature to implement top-down parsers
- A popular implementation is the recursive-descendent parser
- A recursive-descendent parser comprises a set of mutually recursive routines that cooperate to parse a string of tokens
 - Each routine typically corresponds to a single production rule

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2

A Basic Function For The Recursive-Descendent Parser



- Let *next* be a pointer that points to the <u>next</u> token to be consumed in the input stream
- Define a boolean function that checks for a match of a token with the next input token:

non terminal rale alzin solean an infin

Top-Down Parser Code For A Simple Grammar



```
BCA
Rule 5
                                                                5
                                                  2
bool S () { return B()&& C() && A();}
                                                  3
                                                                ε
              A/C/B J expandita
                                                  5
Rule A
bool A1 () { return S();}
                                                               number
bool A2 () { return true ;}
                                                                id
bool A() {
   Token *save = next;
                                             // try A1 rule first
     return
                (A1();)
                                             // then try A2 rule
             \| (\text{next} = \text{save}; A2();) ;
                            Backtrack by reversing pointer © All Rights Reserved
Only tries rule A2 if A1 fails
```

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Save you so token you next is

folse ezy true CLE FLOS 1 1 !

back Jz.

Save and

Top-Down Parser Code For A Simple Grammar



```
BCA
                                                2
                                                             5
Rule B
                                                3
bool B1 () { return MATCH(€);}
                                                4
bool B2 () { return MATCH(£);}
                                                5
bool B3 () { return true ;}
                                                6
                                                7
                                                            number
bool B () {
                                                8
                                                             id
   Token *save = next;
                                           // try B1 rule first
   return
              (B1();)
                                           // then try B2 rule
              (\text{next} = \text{save}; B2();)
              ( next = save; B3(); );
                                           // then try B3 rule
                            O All Rights Reserved
```

Top-Down Parser Code For A Simple Grammar



```
BCA
                                                   5
                                                            5
                                                   A
                                               2
Rule C
                                               3
                                                            3
bool C1 () { return MATCH( number );}
                                               4
                                                  B
bool C2() { return MATCH(id);}
                                                           £
                                               5
                                               6
                                                           3
                                                        \rightarrow number
                                               7
                                                  C
bool C() {
                                               8
                                                           id
    Token *save = next;
                                          // try C1 rule first
              (C1();)
    return
                                          // then try C2 rule
            \parallel (next = save; C2(););
                            O All Rights Reserved
```

Exercise



 Write the code of a recursive-descendent parser for the expression grammar

```
5
               Expr
               Term Ê
2
   Expr
3
               + Term Ê
               - Term Ê
4
5
               3
              Factor Ť
6
  Term
7 Ť
               * Factor Ť
8
               I Factor Ť
9
              (Expr)
10 Factor
11
               number
12
               id
```

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Invoking A Recursive-Descendent Parser



- To start a recursive-descendent parser:
 - Initialize next to point to the first token
 - Invoke the start symbol routine
- · Easy to implement by hand
- Similar to scanners, there are software tools that generate the code of parsers automatically
- Example: ANTLR

Input Grammar by user



Java codes for a scanner and a parser

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lexer. jaka Parser. jaka

Summary



- Top-down parsers find a derivation for a string of tokens by building a parse tree
 - The parser starts at the root and then extends the tree downward (hence the name top-down parsing)
 - The parser terminates when the leaves matches the tokens returned by the scanner
 - Leftmost derivation is used
- Backtracking is needed when a "bad" pick of a production rule is used
- Top-down parsers cannot handle left recursive grammars
 - Solution: rewrite grammars to be right recursive

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Summary (cont.)



- Recursive-descendent parsers are popular implementation for top-down parsers
- However, a major source of inefficiency is the need to backtrack
- Luckily, there are algorithms for backtrack-free top-down parsing (ول المسكلة العلى المسكلة)
 - The topic of our next lecture

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Exercise



- $\begin{array}{ccccc}
 1. & S & \longrightarrow & (A) \times (B) \\
 2. & A & \longrightarrow & T num \\
 3. & T & \longrightarrow & T num + \\
 4. & & | & \varepsilon \\
 5. & B & \longrightarrow & B + num \\
 6. & & | num
 \end{array}$
- Rewrite the above grammar into a right-recursive grammar
- Using your new grammar, show the parse tree for the following strings:
 - $-(1+2+3)\times(2)$
 - $(2) \times (1+2+3)$

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Predictive Parsing Spring 2018/2019

Instructor: Dr. Fahed Jubair Computer Engineering Department University of Jordan



Review: The Problem of Backtracking



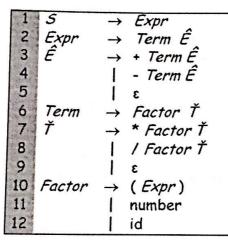
- Backtracking makes top-down parsers really slow and inefficient
- This inefficiency arises from the parser's lack of knowledge of which production role is the correct one
 - Therefore, it tries all rules till finding the correct one
- This lecture introduces <u>LL parsing</u>: a computer algorithm for performing backtrack-free top-down parsing

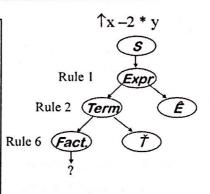
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Elsono como i chie vien pio performage backtrack de









A smart parser would **lookahead** at the next input token "x" and conclude that the rule $Factor \rightarrow id$ is the correct rule to choose

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Backtrack-Free Parsers



- Given $A \rightarrow \alpha \mid \beta$, a backtrack-free top-down parser should be able to choose between $\alpha \& \beta$ without the need to backtrack
- The key idea is to "look ahead" at the next input token when selecting the production rule
 - Let us call this token the lookahead token
- We refer to such parsers as predictive parsers because they predict the "correct" rule to use
- Predictive top-down parsers are also called LL parsers
 - They read the input stream from left to right (hence the first "L") and they use leftmost derivation (hence the second "L")

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LL(1) Grammar



- A grammar for which a top-down parser that reads the input from left to right and uses leftmost derivation needs a lookahead of at most one token to always predict the correct rule
- Using LL(1) grammars, a top-down parser can always predict the correct rule every time it expands a nonterminal

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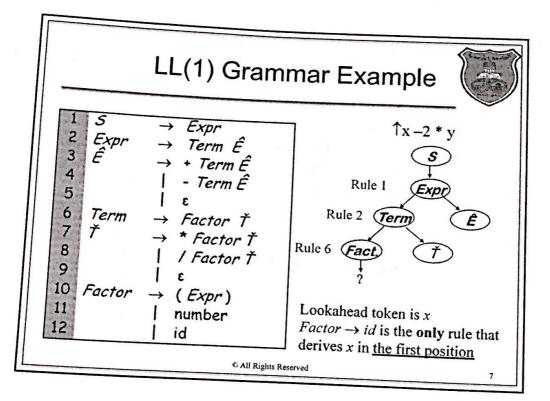
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Predictive Top-Down Parsing



- Consider a top-down parser that uses an LL(1) grammar
- Let the next nonterminal node to be expanded by a the parser be A
- Let the lookahead token be t
- When expanding A, the LL(1) grammar has the property that there is a unique production rule $A \to \alpha$ such that $\alpha \Rightarrow^* t \beta$, i.e., there is only one rule that can derive token t in the first position
- Therefore, LL(1) grammars enable top-down parsers to be predictive

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Prediction Criteria



- A production rule $A \rightarrow \alpha$ can derive a terminal t in the first position under one of the following two conditions:
 - 1. $t \in FIRST(\alpha)$, OR
 - 2. $\varepsilon \in FIRST(\alpha)$ and $t \in FOLLOW(\alpha)$

Let us define FIRST and FOLLOW sets

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First set to ken se ze rule J ce i whim

FIRST Sets



- Let $A \to \alpha$ be a production rule in an LL(1) grammar
- $FIRST(\alpha)$ is the set of all **terminals** that appear as the first token in some string that derives from α $FIRST(\alpha) = \{ \text{ all terminal tokens } t \text{ such that } \alpha \Rightarrow^* t \beta \}$
- FIRST sets have the following properties:
 - 1. $FIRST(t) = \{t\}$, where t is a terminal
 - 2. $\varepsilon \in FIRST(\alpha)$ if any of the following holds: $o \alpha \rightarrow \epsilon$, OR
 - $\circ \alpha \to X_1 X_2 \dots X_n$ and $X_i \to \varepsilon$ for all $i: 1 \le i \le n$
 - 3. $FIRST(\alpha) \subseteq FIRST(\beta)$

if $\beta \to X_1 X_2 ... X_n \alpha$ and $X_i \to \epsilon$ for all $i: 1 \le i \le n$

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Example 1



بنفسه بالملام بيس بنفسه

gultokens) fege . Factor and mu

 $FIRST(-) = \{-\}$ $FIRST(*) = \{*\}$

 $FIRST(+) = \{+\}$

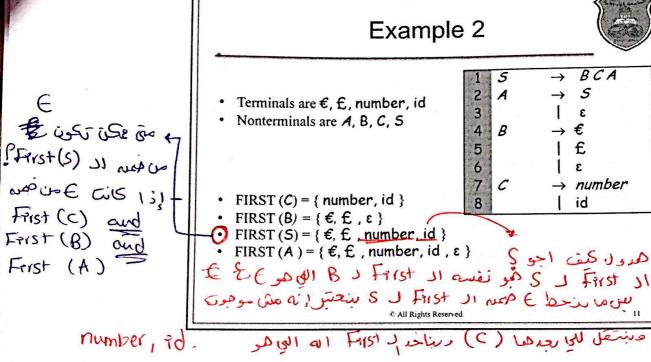
 $FIRST(/) = \{/\}$

- FIRST (Factor) = { (, number, id }
- FIRST (* Factor \check{T}) = { * }
- FIRST $(\check{T}) = \{ *, /, \epsilon \}$
- $FIRST (Term) = \{ (, number, id) \}$
- $FIRST(\hat{E}) = \{+, -, \epsilon\}$
- FIRST (Expr) = { (, number, id }
- $FIRST(S) = \{(, number, id)\}$

Appropriately	3	\rightarrow	Expr	
2	Expr		Term Ê	-
3	Ê	\rightarrow	+ Term Ê	
4		- [- Term Ê	
5		1	3	
6	Term	\rightarrow	Factor F	-
7	Ť	\rightarrow	* Factor T	
8		1	/ Factor T	
9		ĺ	ε	
10	Factor	\rightarrow	(Expr)	
11		I	number	
12		i	id	
The second second			10	ı

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FOLLOW Sets



- Let A be nonterminal in an LL(1) grammar that has start symbol S
- <u>FOLLOW(A)</u> is the set of all terminals that follow A in some sentential form

 $FOLLOW(A) = \{ \text{all terminals } t \text{ such that } S \Rightarrow^* \alpha A t \beta \}$

- FOLLOW sets have the following properties:
 - 1. $\$ \in FOLLOW(S)$, where \$ is a special end-of-input token
 - 2. Ignore ϵ when computing FOLLOW sets
 - 3. If $A \to \alpha \beta$, then $FIRST(\beta) \subseteq FOLLOW(\alpha)$
 - 4. If $A \to \alpha \beta$, then $FOLLOW(A) \subseteq FOLLOW(\beta)$
 - 5. If $A \to \alpha \beta$ and $\beta \Rightarrow^* \varepsilon$, then $FOLLOW(A) \subseteq FOLLOW(\alpha)$

Example 1



```
FOLLOW (\mathcal{S}) \subseteq FOLLOW (\mathcal{E}xpr)
FOLLOW (\mathcal{E}xpr) \subseteq FOLLOW (\mathcal{E})
FOLLOW (\mathcal{E}xpr) \subseteq FOLLOW (\mathcal{T}erm)
FIRST(\mathcal{E}) \subseteq FOLLOW (\mathcal{T}erm)
FOLLOW(\mathcal{E}) \subseteq FOLLOW (\mathcal{T}erm)
FIRST(\mathcal{T}erm) \subseteq FOLLOW (\mathcal{T}erm)
FIRST(\mathcal{T}erm) \subseteq FOLLOW (\mathcal{T}erm)
FOLLOW (\mathcal{T}erm) \subseteq FOLLOW (\mathcal{T}erm)
FOLLOW (\mathcal{T}erm) \subseteq FOLLOW (\mathcal{T}erm)
FOLLOW (\mathcal{T}erm) \subseteq FOLLOW (\mathcal{T}erm)
FIRST(\mathcal{T}erm) \subseteq FOLLOW (\mathcal{T}erm)
```

```
\rightarrow Expr
              → Term Ê
 3
              \rightarrow + Term \hat{E}
                 - Term Ê
     Term
                Factor T
             → * Factor Ť
8
                 I Factor T
9
                3
10
    Factor \rightarrow (Expr)
11
              number
12
                 id
```

13

بدقرم على ايش بنتهي له token. (بندور عليه باليمس دينشون شوفيه مينشون شوفيه

Example 1 (cont.)



```
FOLLOW(5) = \{ \$ \}
                                                     → Expr
                                             5
 FOLLOW(Expr) = \{ \$, \} 
                                            Expr
Ê
                                                     → Term Ê
FOLLOW (\hat{\mathcal{E}}) = \{\$, \}
                                                     \rightarrow + Term \hat{E}
                                         3
FOLLOW(Term) = \{ \$, \}, +, - \}
                                         4
                                                        - Term E
FOLLOW(7) = \{ \$, ), +, - \}
                                                        3
FOLLOW (Factor) = { $, ), +, -,
                                           Term
                                                     → Factor Ť
FOLLOW(+) = \{number, id, (\}\}
                                                     → * Factor Ť
FOLLOW(-) = \{number, id, (\}\}
FOLLOW (*) = {number, id, (}
                                         8
                                                        I Factor T
FOLLOW(/) = \{number, id, (\}\}
                                         9
                                                        3
FOLLOW ('(') = {number, id, (}
                                        10
                                            Factor → (Expr)
FOLLOW(')') = {$, }, +, -, * , / }
                                        11
                                                     number
FOLLOW (number) = \{\$, \}, +, -,
                                                        id
```

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FOLLOW (id) = {\$, }, +, -, * , / }

\$8 spaceal end-of-stream character (

وایخ نصی نیم آیدا م

مسموع تکون کے موجودہ رالہ ہے۔ موجودہ رالہ کا آتا موجودہ رالہ کا آتاہ موجودہ رالہ کا آتاہ

Example 2

A

B

4

5

6 7 C

8



BCA

number

5

3

£

id

- Terminals are €, £, number, id
- Nonterminals are A, B, C, S

FOLLOW (5) = FOLLOW(A) (why?)

FOLLOW (5) \subseteq FOLLOW (6)

 $FIRST(C) \subseteq FOLLOW(B)$ $FIRST(A) \subseteq FOLLOW(C)$

 $FOLLOW(S) = \{ \$ \}$

 $FOLLOW(A) = \{ \$ \}$

 $FOLLOW(B) = \{ number, id \}$

FOLLOW $(C) = \{ \$, \$, \$, number, id \}$

 $FOLLOW(\mathfrak{E}) = FOLLOW(\mathfrak{L}) = \{ number, id \}$

FOLLOW (number) = FOLLOW (id) = $\{ \$, \$, \$, h$, number, id $\}$

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PREDICT Sets



- We now merge FIRST and FOLLOW sets into a single set, called the PREDICT set
- For each production rule $A \rightarrow \alpha$ in the LL(1) grammar, we define $PREDICT(\alpha)$ as the set of all terminals that appear as the first token in some string that derives from \alpha
- PREDICT(α) is computed as follows:

Predict (α) = FIRST (α) – { ϵ }

if $(\varepsilon \in FIRST(\alpha))$

Predict (α) = Predict (α) U FOLLOW(α)

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*Predict (a) 95 Fryst(x) if a doesn't Contain E Contains E

Follow (x)

Example 1



```
PREDICT(5) = { number, id, (}

PREDICT(Expr) = { number, id, (}

PREDICT(\hat{E}) = { +, -, \, , \, }

PREDICT(Term) = { number, id, (}

PREDICT(Texpred{T}) = { number, id, (}

PREDICT(Texpred{T}) = { number, id, (}
```

```
Expr
   Expr
Ê
               Term Ê
               + Term Ê
3
               - Term Ê
4
5
               Factor T
6
    Term
7
    Ť
               * Factor T
8
               I Factor T
9
               3
10
  Factor \rightarrow (Expr)
11
               number
12
               id
```

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. .

Example 2



- · Terminals are €, £, number, id
- Nonterminals are A, B, C, S

1 5	→ BCA
2 A	\rightarrow 5
3	3
4 B	→ €
5	l £
6	ε
7 C	→ number
8	id

- PREDICT(C) = { number, id }
- PREDICT(B) = $\{ \in, E \text{ , number, id } \}$
- PREDICT(S) = { €, £, number, id }
- PREDICT(A) = { €, £, number, id, \$ }

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LL(1) Parsing Table



- For a given LL(1) grammar G, a parsing table T is a 2D array that informs the parser of which production rule to use when expanding a nonterminal A in a parse tree
- Hence, if A is a non-terminal and t is a terminal in G: $T[A, t] = \alpha$ such that $A \to \alpha$ and $t \in PREDICT(\alpha)$
- T[A, t] is set to an error flag if no such production rule existed

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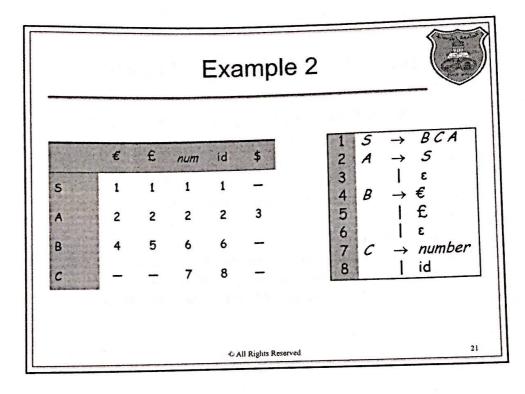
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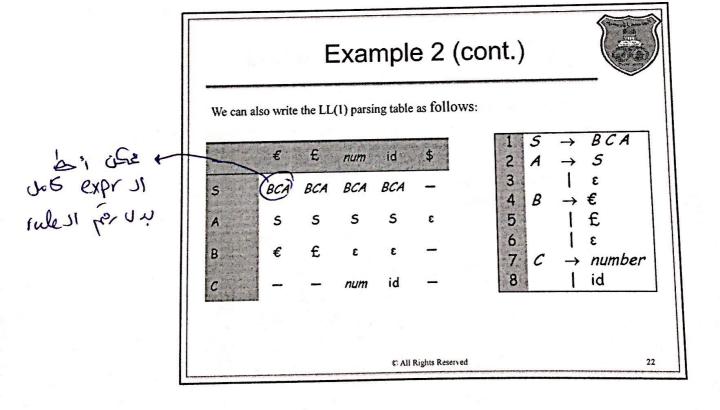
	LL(1) Parsing Table For The Expression Grammar کولامهای								
						num)	\$
5	_		-	_	1	1	1	-	_
Expr	_	· _ ·	-	-	2	2	2	-	_
Ê	3	4	-	_	_	_	_	5	5
Term	_		-	-	6	6	6	_	1
Ť	9	9	7	8	_	_	_	9	9
Factor	_	_	_	_	12	11	10	-	_

non terminals

rule 11 per bis, non 115 tokars) in the tel cisme

(الي بدودي عليه , عير هيد - يط (error)





Predictive Top-Down Parsing



- The algorithm of predictive top-down parsing :
 - 1. Construct the parse table T
 - 2. Construct the root node of the parse tree
 - 3. Repeat until lower fringe of the parse tree matches the input string
 - i. At a node labeled A, select the production rule T[A,t] from the parse table (where t is the lookahead token), and for each symbol on the rhs of this rule, construct the appropriate child
 - ii. Find the next node to be expanded

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2

Let us Redo $S \Rightarrow^* x - 2 * y$

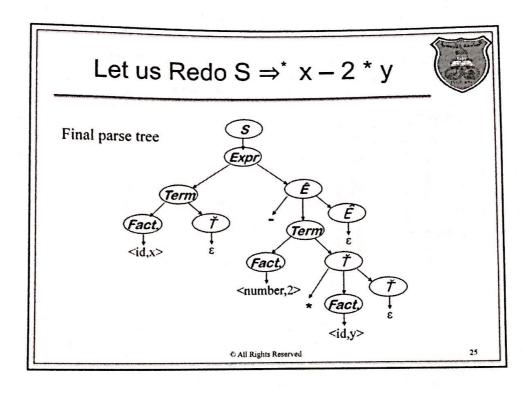


An LL(1) version of the algebraic expression grammar

1 2 3	5 Expr Ê	\rightarrow Expr \rightarrow Term \acute{E} \rightarrow + Term	Ê
25.36			
4		- Term	sh sh
5		3	
6		→ Factor	<i>Ť</i>
7	Ť	→ * Factor	→ Ť
8		/ Factor	· ř
0		Ι ε	' th
7			
10	Factor	\rightarrow (Expr)	
11		number	
12		l id	NP' to Possed
143 A		1	All Rights Reserved

The parse table is shown on slide 20

Let us take it to the board



Recursive-Descendent LL(1) Parsers



- In previous lecture, we studied recursive-descendent parsers: a popular implementation for top-down parsers
- · We introduced:
 - next: a pointer that points to the next token to be consumed in the input stream
 - MATCH(Token t): a function that checks if a token t
 matches the next token to be consumed in the input stream
- We now introduce one more function:
 - PEEK() as the function that peeks at the input stream and returns the lookahead token

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next token برجع اد

Predictive Top-Down Parser Code For A Simple Grammar



```
Rule S This is the PREDICT set bool S() {

Token t = PEEK();

if (t \in \{ \in, £, number, id \})

return B() && C() && A();

else

return false; // parse error

} look ahead to ken I she was a solid bit of the served.

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\begin{bmatrix}
1 & 5 & \rightarrow BCA \\
2 & A & \rightarrow S \\
3 & | & \epsilon \\
4 & B & \rightarrow € \\
5 & | & £ \\
7 & C & \rightarrow number \\
8 & | & id
\end{cases}
```

بعد بن بطبق عليعه match او لا.

Predictive Top-Down Parser Code For A Simple Grammar



```
BCA
                                                             5
Rule A
bool A() {
   Token t = PEEK();
                                               5
   if (t \in \{ \in, £, number, id \})
       return S();
                                                         → number
   else if (t \in \{\$\})
                                                            id
       return true;
   else
        return false; // parse error
                                     Note that Backtracking is not
                                     needed in this version of code
                            O All Rights Reserved
```

Predictive Top-Down Parser Code For A Simple Grammar



```
Rule \mathcal{B}
bool \mathcal{B}() {
	Token t = PEEK();
	if (t \in \{ \in \} )
	return MATCH(\{ \in \} \} )
	return MATCH(\{ \in \} \} )
	return MATCH(\{ \in \} \} )
	return \{ \in \{ \in \} \} \} 
	return \{ \in \{ \in \} \} \}
```

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Predictive Top-Down Parser Code For A Simple Grammar



```
Rule C

bool C() {
	Token t = PEEK();
	if (t \in \{ \text{ number } \})
	return MATCH( number );
	else if (t \in \{ \text{ id } \})
	return MATCH( id )
	else
	return false; // parse error
```

	5	→ BCA
2	A	→ 5
3		ε
4	\mathcal{B}	→ €
5		l £
6		3
7	C	\rightarrow number
8		id

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Exercise



 Write the code of a recursive-descendent LL(1) parser for the expression grammar

1	5	\rightarrow	Expr
2	Expr	\rightarrow	Term Ê
3	Ê	\rightarrow	+ Term Ê
4		I	- Term Ê
5		1	ε
6	Term	\rightarrow	, 40,0,
7	Ť	\rightarrow	* Factor Ť
8		I	I Factor Ť
9		1	ε
10	Factor	\rightarrow	(Expr)
11		- 1	number
12		I	id

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The Problem is That Not All Grammars are LL(1)



- Assume a context-free grammar G with the production rules $A \rightarrow \alpha \mid \beta$
- Remember that for G to be an LL(1) grammar, then there is at most one production rule in $A \rightarrow \alpha \mid \beta$ that derives a Token t in the first position
- Therefore, G is LL(1) grammar if $PREDICT(\alpha) \cap PREDICT(\beta) = \emptyset$
- Otherwise, G is not an LL(1) grammar
 - The predictive top-down parsing algorithm shown in slide
 22 cannot be used with grammars that are not LL(1)

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A Non-LL(1) Grammar Example



1	Function	\rightarrow	id	
2	Edward	1	id (ArgList)	
3		1	id [ArgList]	
4	ArgList	\rightarrow	id MoreArgs	
5	MoreArgs	\rightarrow	, id MoreArgs	
6		1	ε	

- Rules 1, 2 and 3 can all derive token *id* in the first position from nonterminal *Function*
- Can we rewrite this non-LL(1) grammar so that it is LL(1) grammar? not LL(1) grammar.

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Left Factoring

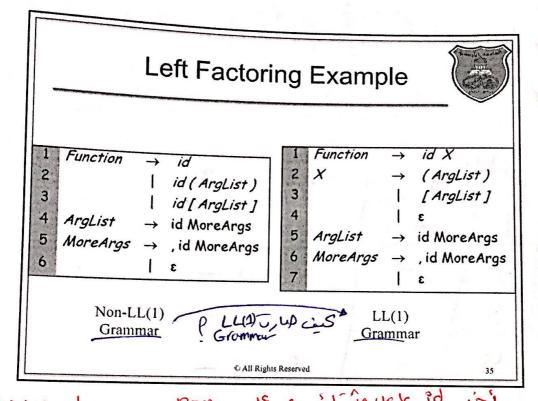


- Consider the following non-LL(1) grammar G $A \to \alpha \beta_1 |\alpha \beta_2| \alpha \beta_3 |\dots |\alpha \beta_n| \gamma$
- The problem is that token α is a common prefix
- Solution: let us factor token α out
- Therefore, we can obtain the equivalent LL(1) version of G by introducing a new nonterminal \hat{A} :

$$A \to \alpha \hat{A} \mid \gamma$$

$$\hat{A} \to \beta_1 \mid \beta_2 \mid \beta_3 \mid \dots \mid \beta_n$$

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Predict (x) = § C, E, \$} -> Unique -> LL(1) grammar

Left Factoring Doesn't Always Work



- Even with left factoring, some grammars still cannot be converted into an LL(1) grammar
- Possible solution: use LL(k) parsing, an advanced top-down parsing that uses k lookahead characters
- Another possible solution: use bottom-up parsing, another algorithm for parsing that covers a bigger class of grammars than top-down parsing

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Summary



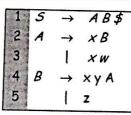
- Predictive top-down parsing is an efficient parsing technique that does not require backtracking
- To use predictive top-down parsing, context-free grammars must be rewritten as LL grammars
 - This can be done by hand or by an automatic software tool
- Predictive top-down parsers can be implemented as recursive-descendent parsers
- Most programming languages can be parsed using LL(1) parsers

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Exercise





- i.) The above grammar is not LL(1). Explain why.
- Use left factoring to rewrite the grammar into an LL(1) grammar
- iii. Show the LL(1) parsing table for your grammar in part ii
- iv. Using the parsing table, show the derivation steps for the string xxyxwz\$

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i. not . b

A بتبلش X بالمرتسيه

* ما بقارن A مع B، بطلع على A كانه (ذا ل تقاطع أو ك . * ما بقارن A مع B و لحاله إذا ل تقاطع أو ك .

11.	S-AB\$
2	A - XÂ
	Â_, 8
4	lω
5	B - xyA
6	12

بعد ما آحد عامل مشرك كازم أرجع أشيك إذا عندي نعاطع أركح.

711. P	<i>custing</i>	table			
	W	X	9	2	\$
S	_	1	_	_	
A	-	2		_	
Â	9	3	_	3	_
В	_	4	_	5	_

Lacture 7

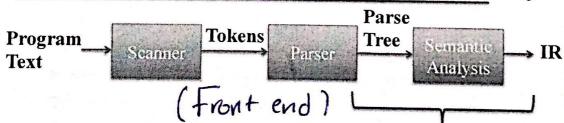
Semantic Actions Spring 2018/2019

Instructor: Dr. Fahed Jubair Computer Engineering Department University of Jordan



Semantic Actions' Role





- The role of semantic actions (or semantic analysis) is to analyze the parse tree in order to
 - 1. Build the symbol table
 - 2. Check for semantic errors
 - 3. Generate the intermediate representation (IR)

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Semantic Errors



Some errors are beyond syntax analysis

For example, what is wrong with the following C code?

multiple vourable declaration 4 Semantic

PITOY

P is char ر بخزن حوا علماما L) semantic error

هون ما عندى Syntax float foo (int n) int m) { int n); Sementic errorsing 2 parameteres foo conficiel são void main (){ int a, b; float c[4]; م صوى نا دركا باستندام parameter char *p) p = foo(b);

is not declared - semantic errors.

Semantic Actions



- · Semantics actions are routines that are invoked while traversing the parse tree to examine the meaning of the program
- These routines check the meaning by applying a verity of correctness checks
- In the end of the semantic analysis, the parse tree is traversed in order to construct the IR

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- When Vistting the parse tree we do more actions.



root
Uisit dess
Children J
eiset
nich Ulmin
post order)

المن صبت ←The Visitor Pattern



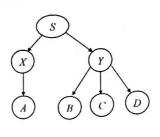
chaldren و عائدی کاری (۱۲۷) دامالطالاحم نادی و عائد

children

 We will define a visitor pattern that traverses all the nodes of a parse tree

- Each node recursively visits its children
- · Default behavior: do nothing
- For example, consider the shown parse tree example

```
Visit S() { Visit X() { visit (X); visit (X); visit (X); } }
```



Visit Y() {
 visit (B);
 visit (C);
 visit (D);
}

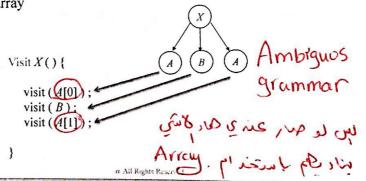
(X,Y)

Parse Tress With The Same Children Type

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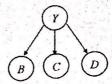
- In parse trees, multiple instances of the same node may appear in the same level (e.g., see the parse tree below)
- To distinguish between these nodes, the visitor pattern uses an array



Integrating Semantic Actions With The Visitor Pattern



• Compiler writers override the visitor pattern functions to insert semantic actions



```
Visit Y() {

#action1
visit (B);
visit (C);
visit (D);

#action2

Insert code here to perform actions before visiting the children nodes

Insert code here to perform actions after visiting the children nodes

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```

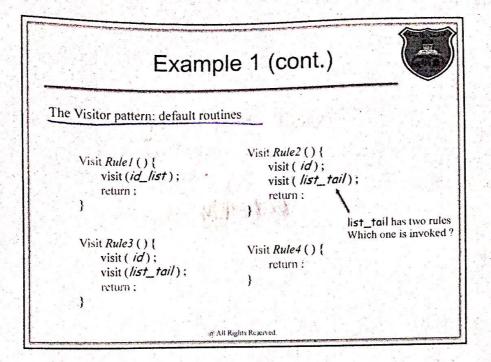
Example 1



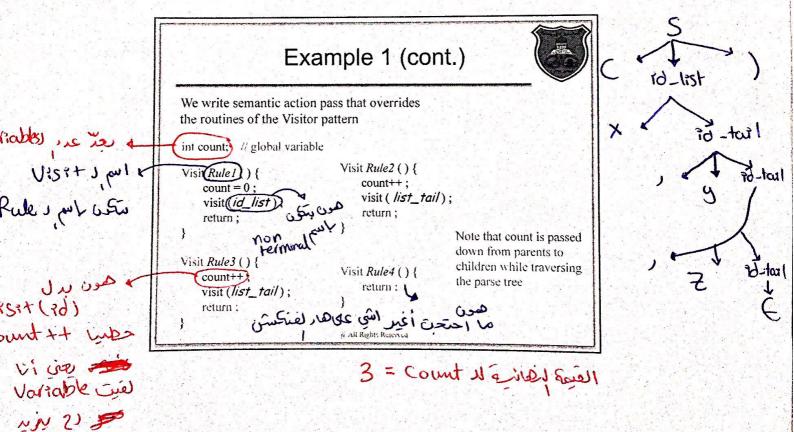
- The below grammar describes a list of identifier, which is often needed in programming languages
- · Write semantic actions to count the number of IDs
 - E.g., when traversing the parse tree of (x, y, z), the count of IDs is 3

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```
Utst rule() } {
Vtst ( ( ) )
Utst (td_list),
Utst ( ) );
}
```



Parse tree



count 11

Porse tree

Example 2



- The grammar describes an algebraic expression of integers that are added to each other
- Write semantic actions to evaluate the expression الممالوب إنى المكتب محمد
 - E.g., when traversing the parse tree of 3 + 8 + 7, the expression evaluates to 18
 - Assume GetValue(Token t) is a function that returns the integer value of t, where t is a token with the type INT

1 Expr Expr_prefix INT 2 Expr_prefix Expr_prefix INT +

EXP Prefix

Example 2 (cont.)



```
Visit Rule 1 () {
     int sum = visit (Expr_prefix);
     sum = sum + GetValue(INT);
     print sum;
     return;
                                              Note that sum is passed up
Visit Rule2() {
                                              from children to parents while
    int sum = visit (Expr_prefix);
                                              traversing the parse tree
    sum = sum + GetValue(INT);
    return sum;
Visit Rule3 () {
    return 0;
                               a All Rights Reserved
```

default9-Utsit Rule 2 () { Visit Rule 1 () { Ussit (prefex) Utsit (prefex) VYSAT (INT) Visit (INT) V35 97 (+) التصريل V7577 Rule 2 ()} Visit Rule 3 () int x = visit (pre lax) X = X + Get Value (INT) [return o; } Z return x; لانه آحر مرة بين ري ع بعن ٥. Visst Rule 1(1) int X = Visit (pielix) X = X + Get Value (INT) return x;

Visit Rueso

المعلومات Semantic Attributes



- Semantics attributes are information that describe some meaning of a terminal or a non-terminal in the parse tree
- Information passed from children nodes to parent nodes are called synthesized attributes
- Information passed from parent nodes to children nodes are called *inherited attributes*

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Semantic Action Passes



- The number and functionality of semantic action passes vary from a compiler to a compiler
- In this course, we will consider the following traditional semantic action passes:
 - First Pass: building the symbol Table (key, value)
 - Second Pass: performing type checking
 - Third Pass: generating the IR

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